#### REMARKS

#### A. Restriction Requirement

In a prior Office Action, the Examiner restricted the claims into three groups as follows:

Group I: Claims 1-25 and 43-59;

Group II: Claims 26-29 and 34-42; and

Group III: Claims 30-33.

A further restriction of the following three species was also imposed for each of Groups I (Claims 1-9, 11-25, 43-51, 54-59), and II (Claims 34-39, 41-42):<sup>1</sup>

- i) katanin and the p60 subunit of katanin;
- ii) XKCM1; and
- iii) OP18 polypeptide.

Applicants previously elected Group I (i.e., Claims 1-25 and 43-59) and species (i) (i.e., katanin and the p60 subunit of katanin), with traverse.

Applicants note the Examiner's remark in the instant Office Action, that "the traversal of the restriction of species i-iii is persuasive and the Examiner has rejoined the species."<sup>2</sup>

#### B. Status of the Claims

Claims 1-59 are pending in the present application.

## 1. Relationship of claims in the instant application to claims in related applications

#### i. Claims 1-16

Claims 1-16 in the instant application include within their scope the matter claimed in Claims 1-16 of abandoned Application No. 09/724,887 (our docket No. UCSD-04865).

Office Action, page 3.

Paper No. 16, page 2, item 2.

#### ii. Claims 17-25

Claims 17-25 in the instant application include within their scope the matter claimed in Claims 17-25 of abandoned Application No. 09/724,598 (our docket No. UCSD-04885).

#### iii. Claims 43-46 and 48-85:

Claims 43-46 and 48-85 the instant application include within their scope the matter claimed in Claims 43-46 and 48-85 of abandoned Application No. 09/724,596 (our docket No. UCSD-04867). The reasons and support for the instant Claims 43-46 and 48-85 are the same as those discussed in Applicants' response that was mailed on 11/27/02 in application serial No. 09/724,596 (our docket No. UCSD-04867).

#### iv. Claims 86-111:

Claims 86-111 in the instant application include within their scope the matter claimed in Claims 43-51 and 55-71 of abandoned Application No. 09/724,595 (our docket No. UCSD-04868). In particular, new Claims 86-111 do not recite that the agent alters microtubule "severing", but instead recite that the agent alters microtubule polymerization and/or depolymerization. Support for new claims 86-111 is the same as that discussed in Applicants' response that was mailed on 10/21/02 in application serial No. 09/724,595 (our docket No. UCSD-04868).

#### v. Claims 112-124:

Claims 112-124 in the instant application include within their scope the matter claimed in Claims 51 and 55-66 of abandoned Application No. 09/724,602 (our docket No. UCSD-04869). In particular, new Claims 112-124 do not recite that the agent alters microtubule "severing", but instead recite that the agent alters microtubule polymerization and/or depolymerization, and that the microtubule depolymerizing protein comprises stathmin. Support for new Claims 112-124 is the same as that discussed in Applicants' response that was mailed on 10/21/02 in application serial No. 09/724,602 (our docket No. UCSD-04869).

#### 2. Amendments:

Claim cancellations and amendments were made to better define preferred embodiments of the invention, notwithstanding Applicants' belief that the cancelled and unamended claims would have been allowable, without acquiescing to any of the Examiner's arguments, and without waiving the right to prosecute the unamended (or similar) claims in another application, but rather for the purpose of furthering Applicants' business goals and expediting the patent application process in a manner consistent with the PTO's Patent Business Goals (PBG).<sup>3</sup> None of the amendments to the claims is related to the statutory requirements of patentability.

In particular, Claims 26-42 have been cancelled in response to a restriction requirement without prejudice to their renewal in a future application. Applicants' cancellation of Claims 26-42 does not narrow the scope of any of the claims because cancellation of non-elected claims in not related to a statutory requirement for a patent, but rather is related to the Patent Office's convenience for organizing searches.

Claims 1, 16, 25, 43, 58, and 59 have been amended to recite a "test" agent. Also, Claim 17 has been amended to recite a test "agent" instead of test "compound" to provide antecedent basis for this term, which appears in dependent Claims 19 and 20. Support for the term "test agent" is found in the teaching:

"The term "test agent" refers to an agent that is to be screened in one or more of the assays described herein. The agent can be virtually any chemical compound. It can exist as a single isolated compound or can be a member of a chemical- (e.g., combinatorial) library. In a particularly preferred embodiment, the test agent will be a small organic molecule."

Claims 1 and 17 have also been amended to recite "at least one protein selected from the group consisting of" a microtubule severing protein and microtubule deplymerizing protein. Support is found in the specification's disclosure that the modulators "... provide novel lead compounds for the development of highly specific inhibitors for depolymerizing and/or microtubule severing protein families and subfamilies, thus allowing for precise

<sup>&</sup>lt;sup>3</sup> 65 Fed. Reg. 54603 (September 8, 2000).

<sup>&</sup>lt;sup>4</sup> Specification, page 10, lines 27-30.

chemical intervention."<sup>5</sup> Also, the Specification teaches ". . assaying the impact of a test agent on microtubule polymerization, and/or depolymerization, and/or severing."<sup>6</sup>

Claims 2 and 48 have been amended by inserting the term "4'-6-diamidino-2-phenylindole" which is equivalent to the originally-recited term "DAPI" as supported by Heusele *et al.* (1987) Eur. J. Biochem. 165: 613-620, a copy of which was previously submitted as reference # 104 in PTO-1449, and which is referred to in the Specification as follows:

"In another embodiment, the state of microtubule polymerization can be determined by changes in fluorescence of DAPI stained microtubules. It has been shown that DAPI fluorescence intensity is higher when this dye is bound to polymerized versus free tubulin (Heusele et al. (1987) Eur. J. Biochem. 165: 613-620)."<sup>7</sup>

Claim 9 has been amended by adding the term "stathmin" which is equivalent to the recited term "OP18" as supported by the Specification and references cited therein:<sup>8</sup>

"Another microtubule depolymerizing motor protein suitable for use in the methods of this invention is OP18, also called stathmin or stathmin/op18. OP18 is described in detail by Gradin et al. (1998) J. Cell Biol., 140(l):131-141, by Andersen et al. (1997) Nature, 389(6651):640-643, by Larsson et al. (1997) Mol. Cell. Biol., 17(9):5530-5539, and by Belmont et al. (1996) Cell, 84(4):623-631."9

<sup>&</sup>lt;sup>5</sup> Specificaiton, page 15, lines 17-20.

<sup>&</sup>lt;sup>6</sup> Specification, page 27, lines 18-22.

<sup>&</sup>lt;sup>7</sup> Specification, page 27, lines 9-11.

Gradin et al. (1998) J. Cell Biol., 140(1):131-141, IDS reference #91, page 131, column 2 states: "Op18 has been given many names in the literature (e.g., p19-19K, metablastin, prosolin, and stathmin) . . ."; Andersen et al. (1997) Nature, 389(6651):640-643, IDS reference #92, page 643, column 1, discloses "Xenopous Stathmin/Op18 cloning and expressions;" Larsson et al. (1997) Mol. Cell. Biol., 17(9):5530-5539, IDS reference #93, Abstract, discloses "Oncoprotein 18 (Op18; also termed p19, 19K, metablastin, stathmin, and prosolin) . . .;" Belmont et al. (1996) Cell, 84(4):623-631, IDS reference #94, paragraph bridging pages 627-628, page 629 under "Purification" and "Protein Sequencing," discloses purification and sequence analysis of Op18/stathmin.

<sup>&</sup>lt;sup>9</sup> Specification, page 25, lines 26-30.

Claim 9 has also been amended by adding the term "Xenopus kinesin central motor 1" which is equivalent to the recited term "XKCM1" as disclosed in the Specification, page 25, lines 19-24.

Claims 9 and 10 have been amended to provide further clarity by adding the recitation "polypeptide" after each of katanin, p60 subunit of katanin, XKCM1 and op18.

Claims 11 and 53 has been amended by incorporating the limitations of cancelled Claim 26. This is not a narrowing amendment since it paraphrases the originally-filed reference to Claim 26.

Claim 17 has been amended by replacing "a microtubules" with "a microtubule" to correct a grammatical error.

Claims 21, 25, 55 and 59 have been corrected to delete a period from the middle or end of the claim to conform to proper claim format.

Claim 43 has been amended by incorporating the limitations of cancelled Claim 47 to recite specifically preferred labels, such as DAPI, ANS, bis-ANS, NPN, DCVJ, ruthenium red, and cresol violet. Support for the full term for DAPI is the same as that discussed above with respect to amendment of Claims 2 and 48. Support for the full terms for ANS, bis-ANS, and NPN is on page 27, lines 23-26 which teaches:

"Labels that can be used include, but are not limited to anilinonapthalene sulfonate (ANS) (e.g., Molecular Probes Catalogue Nos: A-47, A-50, T-53, etc.), bis-ANS (Molecular Probes Catalogue No: B-153), N-phenyl-l-naphthylene (NPN) (Molecular Probes Catalogue No: P65), DCVJ (Molecular Probes Catalogue No: D-3923), ruthenium red, and cresol violet."

Additional support for the full name [i.e., 4-(dicyanovinyl)julolidine] of the term "DCVJ" is in attached Tab 3, which shows the full name of DCVJ in the Molecular Probes Catalogue No: D-3923 that is referred to by the immediately preceding sentence from the Specification.

Claims 43, 50, 51, and 59 have also been amended by cancelling the recitation that the agent alters microtubule "polymerization or depolymerization" and that the protein is XKCM1 or OP18 polypeptide.

Claim 44 has been amended to recite proper Markush language.

Claim 48 has been amended to depend from Claim 43 instead of cancelled Claim 47.

Claim 52 has been amended by cancelling the recitation that the microtubule severing protein is "a katanin" to avoid potential duplication of amended Claim 51.

Claim 55 has been amended by deleting the period on line 2, and by cancelling the recitation "each reaction mixture comprising a distinct and distinguishable domain of said array." This is not a narrowing amendment.

Claim 58 has been amended to correct a grammatical error by replacing "one of" with the term "comprises." Support is found in the Specification, page 4, lines 1-3.

Claim 59 has been amended by deleting a period at the end of the claim.

New Claims 60-85 have been added to better describe one embodiment of the invention. In particular, support for Claims 60-72's recitation of providing and contacting with "an isolated polypeptide having microtubule severing activity and comprising a katanin p60 subunit" and also for Claims 73-85's recitation of providing and contacting with "an isolated katanin p60 subunit" is found, for example, in the Specification, page 25, lines 12-13 which teaches that "the assays of this invention can be practiced either with the heterodimeric katanin or with a p60 subunit alone." Support for Claims 61-72 and 74-85 is found, for example, in the originally-filed Claims 44-59.

New Claims 86-111 have the same support as that for Claims 43-51 and 55-71 of application serial No. 09/724,595 (our docket No. UCSD-04868) as discussed in Applicants' response that was mailed on 10/21/02 in application serial No. 09/724,595.

New Claims 112-124 have the same support as that for Claims 51 and 55-66 of application serial No. 09/724,602 (our docket No. UCSD-04869) as discussed in Applicants' response that was mailed on 10/21/02 in application serial No. 09/724,602.

#### C. <u>Information Disclosure Statement</u>

The Examiner indicated that "references numbered 52-57, 105 and 149 have not been considered," and that "Applicants should supply the dates in which the references were published."<sup>10</sup> Enclosed is a supplemental Information Disclosure Statment and form PTO-1449 showing the dates of the references. Accordingly, Applicants respectfully request consideration of reference numbers 52-57, 105 and 149.

<sup>&</sup>lt;sup>10</sup> Paper No. 16, item 4.

#### D. Objection To the Specification

The Specification was objected to because of typographical errors, and the presence of colons or square boxes after numbers. In view of the extensive nature of the typographical and grammatical corrections, which appear on almost every page of the Specification, and since the Examiner indicated that a substitute specification in related applications (for example, Application Serial Nos. 09/724,596; 09/724,595; and 09/724,602) would overcome this objection, Applicants enclose the following:

- (1) A marked-up copy of the substitute Specification (excluding the Sequence Listing, Claims, Abstract and Figures) (Tab 1, pages 1-60) pursuant to 37 C.F.R. § 1.125(b)(2), showing the matter which was added and deleted from the **originally-filed** Specification, as well as the changes made by Applicants in an Amendment mailed to the Office on June 21, 2001. Strike-through shows deleted matter, and underling shows added matter; and
- (2) A clean copy of the substitute Specification (excluding the Sequence Listing, Claims, Abstract and Figures) without markings as to amended material (Tab 2, pages 1-59) pursuant to 37 C.F.R. § 1.125(c). The clean substitute specification includes no new matter.

These amendments do not introduce new matter.

#### E. Objections To, And Rejections Of, The Claims:

Applicants note that the Examiner found "Claims 1-25 are free of the art."<sup>11</sup>

However, Claims 1-25 and 43-59 have been objected to and rejected on the following grounds:

- 1. Claims 17, 21, 25, 55, 58, and 59 have been objected to;
- 2. Claims 2, 4, 9-12 and 55-59 stand rejected under 35 U.S.C. §112, second paragraph, for alleged indefiniteness;
- 3. Claims 43-46 and 49-54 were rejected under 35 U.S.C. §102(b) as being allegedly anticipated by McNally & Vale;

<sup>&</sup>lt;sup>11</sup> Paper No. 16, page 13, item 22.

- 4. Claims 43-54 stand rejected under 35 U.S.C. §103(a) for alleged obviousness over McNally & Vale in view of Bonne *et al.*;
- 5. Claims 43-46 and 49-58 stand rejected under 35 U.S.C. §103(a) for being allegedly obvious over McNally & Vale in view of Balch (U.S. Patent No. 6,083,763);
- 6. Claims 43-46, 49-54 and 59 have been rejected under 35 U.S.C. §103(a) for alleged obviousness over McNally & Vale;
- 7. Claims 43-51 and 55-59 have been provisionally rejected for obviousness-type double patenting over claims 43-71 of copending Application No. 09/724,595 (our docket No. UCSD-04868);
- 8. Claims 43-51 and 55-59 have been provisionally rejected for obviousness-type double patenting over claims 51 and 55-66 of copending Application No. 09/724,602 (our docket No. UCSD-04869);
- 9. Claims 11-16 have been provisionally rejected for obviousness-type double patenting over claims 11-16 of copending Application No. 09/724,887 (our docket No. UCSD-04865);
- 10. Claims 43-59 have been provisionally rejected under 35 U.S.C. § 101 as claiming the same invention as claims 43-59 of copending Application No. 09/724,596 (our docket No. UCSD-04867); and
- 11. Claims 1-10 were provisionally rejected under 35 U.S.C. § 101 as claiming the same invention as claims 1-10 of copending Application No. 09/724,887 (our docket No. UCSD-04865).

Applicants believe that the following remarks traverse the Examiner's objections and rejections of the claims. These remarks are presented in the same order as they appear above.

#### 1. Objection to Claims 17, 21, 25, 55, 58, and 59

Claims 17, 21, 25, 55, 58, and 59 have been objected to on the following four bases. 12

(a) In Claim 17, the phrase "a microtubules" was found improper. Correction has been made to refer to "a microtubule."

Paper No. 16, page 3, item 6.

- (b) Claims 21, 25, 55 and 59 were found to contain a period in the middle of the claim or to lack a period at the end of the claim. Correction has been made.
  - (c) Claim 58 was found to be missing text. Correction has been made
- (d) Claims 18-20, 23 and 24 are objected to for dependency upon rejected claims 17 and 21. In view of the following remarks that overcome the rejection of Claims 17 and 21, the objection to Claims 18-20, 23 and 24 is moot.

# 2. Rejection of Claims 2, 4, 9-12 and 55-59 under 35 U.S.C. §112, second paragraph

Claims 2, 4, 9-12 and 55-59 stand rejected under 35 U.S.C. §112, second paragraph, for alleged indefiniteness based on the following three reasons:<sup>13</sup>

- (a) The Examiner requested amendment of Claims 2 and 9 because they "contain acronyms, DAPI, XKCM1 and OP18 that are not well known in the art." Applicants have inserted the full names of each of these acronyms into the claims as supported by the Specification (discussed *supra*).
- (b) The Examiner required correction of Claim 53 since it references non-elected Claim 26. Claim 53 has been amended by inserting the limitations of Claim 26. This is not a narrowing amendment since it paraphrases the originally-filed reference to Claim 26.

# 3. Rejection of Claims 43-46 and 49-54 under 35 U.S.C. §102(b) over McNally & Vale

Claims 43-46 and 49-54 were rejected under 35 U.S.C. §102(b) as being allegedly anticipated by McNally & Vale. <sup>14</sup> Applicants respectfully disagree.

The law is clear that "[A]bsence from the reference of any claimed element negates anticipation."<sup>15</sup> Importantly, McNally & Vale does **not** disclose the recited tubulin labels DAPI, ANS, bis-ANS, NPN, ruthernium red, cresol violet, and DCVJ.

<sup>&</sup>lt;sup>13</sup> Paper No. 16, page 4, item 8.

<sup>&</sup>lt;sup>14</sup> Paper No. 16, page 5, item 10.

Rowe v. Dror, 42 USPQ2d 1550, 1553 (Fed. Cir. 1997), citing Kloster Speedsteel AB v. Crucible, Inc., 793 F.2d 1565, 1571, 230 USPQ 81, 84 (Fed. Cir. 1986).

Specifically, McNally & Vale discloses the purification of katanin (also referred to as p81-p60) from see urchin egg extracts, <sup>16</sup> and identifying p81-p60 as a microtubule-severing protein. This identification was carried out by incubating fluorescein-labeled and rhodamine-labeled tubulins (in solution, or immobilized on glass)<sup>17</sup> with p81-p60, and recording changes in fluorescence. Importantly, the fluorescence changes observed by McNally & Vale were investigated **only** when tubulins were labeled with **fluorescein or rhodamine**, rather than with any of the recited DAPI, ANS, bis-ANS, NPN, ruthernium red, cresol violet, and DCVJ. Since McNally & Vale does not disclose a limitation of the claims, withdrawal of the rejection of Claims 43-46 and 49-54 is respectfully requested.

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Referring to new Claims 60-72, these claims are distinguished from McNally & Vale's methods that do **not** include the recited contacting of labeled tubulin with **both** an isolated polypeptide "comprising a katanin p60 subunit" as well as "a test agent." Rather, McNally & Vale's methods involve contacting labeled tubulin with **only** katanin in the absence of a test agent.

Also, new Claims 73-85 are distinguished from McNally & Vale's methods that do **not** disclose the recited "isolated katanin p60 subunit." Instead, McNally & Vale discloses that the heterodimeric katanin, which contains **both** p81 and p60 subunits, has microtubule severing activity.

With respect to new Claims 86-111, McNally & Vale does not disclose the recited agent that alters at least one activity selected from "microtubule polymerization and depolymerization" (Claims 86-111), "microtubule depolymerizing protein" (Claim 93), "XKCM1" (Claim 94). Rather, McNally & Vale discloses the microtubule-severing protein katanin.

As to new Claims 112-124, McNally & Vale does **not** disclose the recited **stathmin polypeptide** but rather discloses the purification of katanin.

For these reasons, new Claims 60-124 are novel over McNally & Vale.

<sup>&</sup>lt;sup>16</sup> McNally & Vale, page 427, column 2.

<sup>&</sup>lt;sup>17</sup> McNAlly & Vale, page 423, Figure 5; page 427, column 2; and page 428, column 1

## 4. Rejection of Claims 43-54 under 35 U.S.C. §103(a) over McNally & Vale in view of Bonne *et al.*

Claims 43-54 stand rejected under 35 U.S.C. §103(a) for alleged obviousness over McNally & Vale in view of Bonne *et al.*<sup>18</sup> Applicants respectfully disagree since a *prima* facie case of obviousness is not established. A *prima facie* case of obviousness requires the Examiner to cite to a combination of references which (a) suggests or motivates one of skill in the art to combine the claim elements to yield the claimed combination, (b) discloses all the elements of the claimed invention, and (c) provides a reasonable expectation of success should the claimed combination be carried out. Failure to establish any one of these three requirements precludes a finding of a *prima facie* case and, without more, entitles Applicants to allowance of the claims in issue.<sup>19</sup> Not just one, but all three requirements, are lacking, thus entitling Applicants to withdrawal of this rejection.

### i. The References Fail to Disclose All The Claims' Limitations

It is axiomatic for establishing a *prima facie* case of obviousness that "all the claim limitations must be taught or suggested by the prior art."<sup>20</sup> This has not been established.

The Examiner **admitted** that McNally & Vale "do **not** teach that the microtubule of the disclosed method is labeled with 4',6-Diamidino-2-phenylindole (DAPI)."<sup>21</sup> This is not the only deficiency of this reference; as explained above in item E.3., McNally & Vale do **not** teach any of the remaining recited tubulin labels of ANS, bis-ANS, NPN, ruthernium red, cresol violet, and DCVJ. Bonne *et al.* also does **not** disclose these tubulin labels but rather discloses that DAPI is a fluorescent probe that binds to tubulin. Accordingly, a *prima facie* case of obviousness cannot stand with respect to rejected claims 43-54.

<sup>&</sup>lt;sup>18</sup> Paper No. 16, page 6, item 12.

MPEP §2143; See, e.g., Northern Telecom Inc. v. Datapoint Corp., 15 USPQ2d 1321, 1323 (Fed. Cir. 1990); In re Dow Chemical Co., 837 F.2d 469, 5 USPQ2d 1529 (Fed. Cir. 1988).

<sup>&</sup>lt;sup>20</sup> MPEP 2143.03, citing *In re Royka*, 490 F.2d 981, 180 USPQ 580 (CCPA 1974).

<sup>&</sup>lt;sup>21</sup> Paper No. 16, page 6, item 12.

Referring to new Claims 60-72, as explained *supra* in item E.3., **none** of the references discloses contacting labeled tubulin with **both** an isolated polypeptide "comprising a katanin p60 subunit" as well as "a test agent."

As to new Claims 73-85, as explained *supra* in E.3., **none** of the references discloses the recited "isolated katanin p60 subunit."

With reference to new Claims 86-111, neither of the references discloses the recited agent that alters at least one of "microtubule **polymerization and depolymerization**" (Claims 86-111), "microtubule **depolymerizing** protein" (Claim 93), and "XKCM1" (Claim 94). As to new Claims 112-124, neither of the references discloses the recited **stathmin** polypeptide. Rather, McNally & Vale discloses the microtubule severing protein katanin, and Bonne *et al.* discloses that DAPI is a fluorescent probe that binds to tubulin.

#### ii. Motivation To Combine the Elements Is Lacking

A key requirement for a *prima facie* case of obviousness is whether a person skilled in the art would be **motivated** to modify the reference to arrive at the **claimed invention**.<sup>22</sup> In particular,

"the examiner must show reasons that the skilled artisan, confronted with the same problems as the inventor and with no knowledge of the claimed invention, would select the elements from the cited prior art references for combination in the manner claimed."<sup>23</sup> Evidence of a suggestion, teaching, or motivation to modify prior art references "must be clear and particular."<sup>24</sup>

In re Fine, 837 F.2d 1071, 1074, 5 USPQ2d 1596, 1598-99 (Fed. Cir. 1988) and In re Jones, 21 USPQ2d 1941, 1943 (Fed. Cir. 1992).

<sup>&</sup>lt;sup>23</sup> (Emphasis added) In re Rouffet, 47 USPQ2d 1453 (Fed. Cir. 1998); Robotic Vision Systems Inc. v. View Engineering Inc., 51 USPQ2d 1948 (Fed. Cir. 1999).

<sup>&</sup>lt;sup>24</sup> (Emphasis added) In re Dembiczak, 175 F.3d 994, 50 USPQ2d 1614 (Fed. Cir. 1999), citing C.R. Bard, 157 F.3d 1340 at 1352, 48 USPQ2d at 1232. See, also, In re Sang Su Lee, 277 F.3d 1338, 61 USPQ2d 1430 (Fed. Cir. 2002).

The Examiner argued that "one of skill in the art would have been motivated to [utilize DAPI] . . . by the teachings of Bonne because DAPI permits a practitioner to follow the kinetics of polymerization *in vitro*."<sup>25</sup> This argument suffers from at least three problems.

First, the Examiner's argument ignores **all** the limitations of the claimed invention. The claimed invention recites using tubulin that is labeled with one or more of DAPI, ANS, bis-ANS, NPN, ruthernium red, cresol violet, and DCVJ. However, since these labels are **not** disclosed by any of the references, a logical argument cannot be made for a motivation to use them to label tubulin. This precludes establishing a *prima facie* case of obviousness.

Second, the Examiner has failed to consider that Bonne *et al.* **teaches away** from the claimed invention. A teaching away alone can defeat obviousness.<sup>26</sup> Bonne *et al.* teaches that DAPI "is **not** a good probe" under some circumstance because Bonne *et al.*:

"were **not** able, thus far, to visualize the microtubule network and mitotic spindles in 3T3 fibroblasts either in fixed and permeabilized or unfixed preparations."<sup>27</sup>

In other words, Bonne *et al.*'s **failure** to visualize DAPI-labeled tubulin **teaches away** from, rather than motivates towards, using DAPI-labeled tubulin. This negates a *prima facie* case of obviousness.

Third, the Examiner erroneously equates availability of DAPI-labeled tubulin with obvious. The law is clear that:

"That which is within the capabilities of one skilled in the art is not synonymous with obviousness."<sup>28</sup>

<sup>&</sup>lt;sup>25</sup> Paper No. 16, page 7, first full paragraph, citing Bonne *et al.*, page 2824, column 2, third and fourth paragraphs.

Winner International Royalty Corp. v. Wang, 53 USPQ2d 1580, 202 F.3d 1340, 13449 (Fed. Cir. 2000), citing Gambro Lundia AB v. Baxter Healthcare Crop., 110 F.3d 1573, 1579, 42 USPQ2d 1378, 1383 (Fed. Cir. 1997).

<sup>&</sup>lt;sup>27</sup> (Emphasis added) Bonne et al.; page 2824, column 2, third paragraph.

Ex parte Levengood, 28 USPQ2d 1300, 1302 (Pat. Bd. Appeals & Interf. 1993), citing Ex parte Gerlach, 212 USPQ 471 (Pat. Bd. Appeals & Interf. 1980). See, also fn. 16 of Panduit Corp. v. Dennison Mfg. Co., 774 F.2d 1082, 1092, 227 USPQ 337, 343 (Fed. Cir. 1985).

At best, the examiner's comment regarding obviousness amounts to an assertion that one of ordinary skill in the relevant art would have been able to arrive at Applicants' invention because he was capable of carrying out **individual** steps among the recited **combination** of steps. This is an inappropriate standard for obviousness.

Based on the above, motivation to combine the references is lacking with respect to rejected Claims 43-54 (and new claims 60-85), thus negating a *prima facie* case of obviousness.

Referring to new Claims 86-111, motivation is also lacking for the additional reason that the references do not disclose the limitation of using the recited agent that alters at least one of "microtubule **polymerization and depolymerization**" (Claims 86-111), "microtubule **depolymerizing** protein" (Claim 93), and "XKCM1" (Claim 94). As to new Claims 112-124, neither of the references discloses the recited **stathmin** polypeptide. Since these limitations are **not** disclosed by any of the references, a logical argument cannot be made for a motivation to combine them with labeled tubulin.

#### iii. A Reasonable Expectation Of Success Is Not Established

A fundamental requisite of establishing a *prima facie* case of obviousness is that there is a reasonable expectation of success in making and using the recited sequences.

"[T]he reasonable expectation of success must be founded in the prior art, not in the applicant's disclosure."<sup>29</sup>

The Examiner argued that "one of skill in the art would have [utilized DAPI] . . . with a reasonable expectation of success by the teachings of Bonne because DAPI permits a practitioner to follow the kinetics of polymerization *in vitro*."<sup>30</sup>

However, this argument ignores Bonne et al.'s teaching away from a reasonable expectation of success. As discussed above in item 6.B., Bonne et al. teaches that DAPI "is not a good probe" since the authors "were not able, thus far, to visualize the microtubule network and mitotic spindles in 3T3 fibroblasts either in fixed and permeabilized or unfixed

In re Dow Chemical Co., 5 USPQ2d 1529, 1531 (Fed. Cir. 1988) as cited in In re Vaeck, 20 USPQ2d 1438, 1442 (Fed. Cir. 1991).

<sup>&</sup>lt;sup>30</sup> Paper No. 16, page 7, first paragraph, citing Bonne *et al.*, page 2824, column 2, third and fourth paragraphs.

preparations."<sup>31</sup> Thus, Bonne *et al.*'s **failure** to visualize DAPI-labeled tubulin points to unpredictability rather than to a reasonable expectation of success.

Importantly, Bonne et al.'s experiments relate to detecting the fate of tubulin assembly and disassembly in the absence of an "agent" that "alters microtubule severing." Thus, nothing in Bonne et al. suggests that labeling tubulin with DAPI would not adversely affect the recited interaction between tubulin and a test agent.

Bonne *et al.*'s silence on a "reasonable expectation of success" is confirmed by Applicants' surprising results. Under the law, a *prima facie* case of obviousness can be rebutted by the Specification's disclosure that the claimed invention yields unexpected results.<sup>32</sup> The Specification states that:

"It was a **surprising** discovery of this invention that tubulin, tubulin dimers, tubulin oligomers or microtubules can be labeled with various labels such as DAPI and that **the label does not interfere with the interaction** of various test agents or cytoskeletal associated proteins with the labeled tubulin to a degree that would prevent [sic.] assayin the impact of a test agent on microtubule polymerization, and/or depolymerization, and/or severing."<sup>33</sup>

Since the inventors' results were surprising in the face of Bonne *et al.*'s disclosure, the third element of a *prima facie* case of obviousness is absent with respect to rejected claims 43-54 (and new claims 60-85).

Referring to new Claims 86-111, there was no expectation of success for the additional reason that the references do not disclose using the recited agent that alters at least one of "microtubule polymerization and depolymerization" (Claims 86-111), "microtubule depolymerizing protein" (Claim 93), and "XKCM1" (Claim 94). As to new Claims 112-124, neither of the references discloses the recited stathmin polypeptide. Since these limitations are not disclosed by any of the references, a logical argument cannot be made for a reasonable expectation of success in combining these limitation with labeled tubulin in the manner recited by the instant claims. Accordingly, new Claims 86-124 are not obvious.

<sup>&</sup>lt;sup>31</sup> (Emphasis added) Bonne et al., page 2824, column 2, third paragraph.

<sup>&</sup>lt;sup>32</sup> In re Davies, 475 F.2d 667, 670, 177 USPQ 381, 384 (CCPA 1973).

<sup>33 (</sup>Emphasis added) Specification, page 27, lines 18-22.

5. Rejection of Claims 43-46 and 49-58 under 35 U.S.C. §103(a) over McNally & Vale in view of Balch (U.S. Patent No. 6,083,763)

Claims 43-46 and 49-58 stand rejected under 35 U.S.C. §103(a) for being allegedly obvious over McNally & Vale in view of Balch (U.S. Patent No. 6,083,763).<sup>34</sup> Applicants respectfully traverse because a *prima facie* case of obviousness has not been made.

### i. The References Fail to Disclose All The Claims' Limitations

The Examiner admitted that McNally & Vale "do not teach that the disclosed method is performed in an array, which comprises a microliter plate and a multiplicity of at least 48 reaction mixtures wherein each reaction mixture comprises a distinct and distinguishable domain of said array with a plurality of agents." Nonetheless, the Examiner argued that Balch provides the missing limitations since this reference discloses a multiplexed molecular analysis system. The fact remains, however, that none of the references discloses labeling tubulin with the recited DAPI, ANS, bis-ANS, NPN, ruthernium red, cresol violet, and DCVJ (item E.4.i.) Accordingly, a *prima facie* case of obviousness must fail with respect to rejected Claims 43-46 and 49-58 (and new claims 60-85).

Applicants incorporate their arguments in E.4.i. with respect to the references' deficiency regarding new claims 60-124.

#### ii. Neither Motivation To Combine the Elements, Nor A Reasonable Expectation Of Success Is Established

The Examiner argued that "one of ordinary skill in the art would have been motivated to [utilize a multiplexed molecular analysis method] with a reasonable expectation of success by the teachings of the [Balch] patent because a multiplexed molecular analysis system can be

<sup>&</sup>lt;sup>34</sup> Paper No. 16, page 7, item 13.

<sup>35</sup> Paper No. 16, page 7, item 13.

<sup>&</sup>lt;sup>36</sup> Paper No. 16, paragraph bridging pages 7 and 8.

advantageous due to the [sic.] commercially availability of reaction vessels . . ."<sup>37</sup> However, as discussed supra in items E.4.ii. and E.4.iii. (1) there is no motivation to label tubulin with DAPI, ANS, bis-ANS, NPN, ruthernium red, cresol violet, or DCVJ since these labels are not disclosed by any of the references in the first place, and (2) Applicants stated in the Specification that it was a "surprising" discovery that "the label does not interfere with the interaction of various test agents or cytoskeletal associated proteins with the labeled tubulin." Because all three (not just one) requirements of a prima facie case of obviousness are lacking, it is respectfully requested that the rejection of Claims 43-46 and 49-58 under 35 U.S.C. §103(a) be withdrawn.

Similarly, with respect to new Claims 60-124, both motivation and a reasonable expectation of success are lacking for the reasons discussed *supra* under items E.4.ii. and E.4.iii.

## 6. Rejection of Claims 43-46, 49-54 and 59 under 35 U.S.C. §103(a) over McNally & Vale

Claims 43-46, 49-54 and 59 have been rejected under 35 U.S.C. §103(a) for alleged obviousness over McNally & Vale.<sup>38</sup> Applicants respectfully disagree because a *prima facie* case of obviousness is lacking.

### i. The References Fail to Disclose All The Claims' Limitations

The Examiner admitted that McNally & Vale "do not teach that the disclosed method further comprises listing the agents that alter microtubule polymerization, depolymerization, or severing into a database of therapeutic lead compounds that act on the cytoskeletal system." This is not the only shortfall of the combined references since, as discussed *supra* in items E.4.ii. and E.4.iii., the references collectively fail to disclose the recited fluorescent labels. Accordingly, a *prima facie* case of obviousness cannot be made.

<sup>&</sup>lt;sup>37</sup> Paper No. 16, page 8, last paragraph.

<sup>&</sup>lt;sup>38</sup> Paper No. 16, page 9, item 14.

<sup>&</sup>lt;sup>39</sup> Paper No. 16, page 9, first full paragraph.

Similarly, with respect to new Claims 60-124, this prong is lacking for the reasons discussed supra under item E.4.ii. and E.4.iii.

### ii. Motivation To Combine the Elements, And A Reasonable Expectation Of Success Are Not Established

The Examiner argued that one of ordinary skill in the art would have been "motivated to [generate a list of molecules] with a reasonable expectation of success because it would be convenient to provide a listing of agents." This is not enough to establish "motivation" or a "reasonable expectation of success" for the reasons discussed above in items 4.B., 4.C., and 5.B., which Applicants incorporate herein. In view of the above, it is respectfully requested that the rejection of Claims 43-46, 49-54 and 59 under 35 U.S.C. §103(a) be withdrawn.

With regard to new Claims 86-124, both motivation and a reasonable expectation of success are lacking for the reasons discussed *supra* under items 4.B. and 4.C.

# 7. Rejection of Claims 43-51 and 55-59 for obviousness-type double patenting over Application No. 09/724,595

Claims 43-51 and 55-59 have been provisionally rejected for obviousness-type double patenting over claims 43-71 of copending Application No. 09/724,595 (our docket No. UCSD-04868).<sup>40</sup> This rejection is moot in view of the enclosed abandonment of Application No. 09/724,595.

# 8. Rejection of Claims 43-51 and 55-59 for obviousness-type double patenting over Application No. 09/724,602

Claims 43-51 and 55-59 have been provisionally rejected for obviousness-type double patenting over claims 51 and 55-66 of copending Application No. 09/724,602 (our docket No. UCSD-04869).<sup>41</sup> This rejection is moot in view of the enclosed abandonment of Application No. 09/724,602.

<sup>&</sup>lt;sup>40</sup> Paper No. 16, page 10, item 16.

<sup>&</sup>lt;sup>41</sup> Paper No. 16, page 11, item 17.

# 9. Rejection of Claims 11-16 for obviousness-type double patenting over Application No. 09/724,887

Claims 11-16 have been provisionally rejected for obviousness-type double patenting over claims 11-16 of copending Application No. 09/724,887 (our docket No. UCSD-04865).<sup>42</sup> This rejection is most in view of the enclosed abandonment of Application No. 09/724,887.

## Rejection of Claims 43-59 for double patenting under 35 U.S.C. § 101 over Application No. 09/724,596

Claims 43-59 have been provisionally rejected under 35 U.S.C. § 101 as claiming the same invention as claims 43-59 of copending Application No. 09/724,596 (our docket No. UCSD-04867).<sup>43</sup> This rejection is moot in view of the enclosed abandonment of Application No. 09/724,596.

## 11. Rejection of Claims 1-10 for double patenting under 35 U.S.C. § 101 over Application No. 09/724,887

Claims 1-10 were provisionally rejected under 35 U.S.C. § 101 as claiming the same invention as claims 1-10 of copending Application No. 09/724,887 (our docket No. UCSD-04865).<sup>44</sup> This rejection is moot in view of the enclosed abandonment of Application No. 09/724,887.

Please note that claims 1-10 in the instant application include within their scope the matter claimed in Claims 1-10 of abandoned Application No. 09/724,887.

#### **CONCLUSION**

All grounds of rejection and objection of the Office Action of December 3, 2002 having been addressed, reconsideration of the application is respectfully requested. To

<sup>&</sup>lt;sup>42</sup> Paper No. 16, page 12, item 18.

<sup>&</sup>lt;sup>43</sup> Paper No. 16, page 13, item 20.

<sup>&</sup>lt;sup>44</sup> Paper No. 16, page 13, item 21.

### PATENT Attorney Docket No. UCSD-04765

expedite prosecution, Applicants encourage the Examiner to call the undersigned at (415) 904-6500, before beginning to draft another written communication, if any.

Signed on behalf of:

Dated: <u>April 3, 2003</u>

Maha A. Hamdan
Registration No. 43,655
MEDLEN & CARROLL, LLP
101 Howard Street, Suite 350
San Francisco, California 94105
(415) 904-6500

# APPENDIX I AMENDMENTS TO THE SPECIFICATION

Replace pages 1-47 of the originally-filed Specification with pages 1-60 of the clean copy of the Substitute Specification attached at Tab 2.

Renumber pages "48-66" of the Sequence listing to pages --61-79--.

### APPENDIX II AMENDMENTS TO THE CLAIMS

The following is a complete listing of all claims in the application. Status is in parenthetical expression, strike-through shows deleted matter, and underling shows added matter.

#### Renumber pages "67-85" to pages --80-98--.

- 1. (Currently Amended) A method of identifying an a test agent that modulates at least one activity selected from the group consisting of microtubule depolymerization, microtubule polymerization and microtubule severing, said method comprising the steps of:
- (i) contacting a polymerized microtubule with <u>at least one protein selected from</u> the group consisting of a microtubule severing protein or <u>and</u> a microtubule depolymerizing protein, in the presence of ATP or GTP, and said <u>test</u> agent; and
- (ii) detecting the formation of <u>at least one product selected from the group consisting of</u> tubulin monomers, dimers <del>or</del> <u>and</u> oligomers, wherein the formation of said tubulin monomers, dimers, or oligomers indicates that said <u>test</u> agent modulates microtubule depolymerization.
- 2. (Currently Amended) The method of claim 1, wherein said polymerized microtubule is labeled with 4'-6-diamidino-2-phenylindole (DAPI).
- 3. (Original) The method of claim 1, wherein said detecting is by fluorescent resonance energy transfer (FRET).
- 4. (Original) The method of claim 2, wherein said detecting, comprising detecting a change in fluorescence of said labeled microtubule.
- 5. (Original) The method of claim 1, wherein said detecting comprises centrifuging said tubulin monomers if present.



- 6. (Original) The method of claim 1, wherein said microtubules are stabilized by contact with an agent selected from the group consisting of paclitaxel, a paclitaxel analogue, and a non-hydrolyzable nucleotide GTP analogue.
- 7. (Original) The method of claim 1, wherein said microtubule is attached to a solid surface.
- 8. (Original) The method of claim 7, wherein said microtubule is attached to said surface by binding with an agent selected from the group consisting of an inactivated microtubule motor protein, an avidin-piotin linkage, an anti-tubulin antibody, a microtubule binding protein (MAP), and a polylysine.
- 9. (Currently Amended) The method of claim 1, wherein said a microtubule severing protein or a microtubule depolymerizing protein is selected from the group consisting of a katanin polypeptide, a p60 subunit of a katanin polypeptide, and <u>Kenopus kinesin central</u> motor 1 (XKCM1) polypeptide, and a stathmin (OP18) polypeptide.
- 10. (Currently Amended) The method of claim 9, wherein said microtubule severing protein is a katanin polypeptide or a p60 subunit of a katanin polypeptide.
- 11. (Currently Amended) The method of claim 10, wherein said p60 subunit of a katanin is a polypeptide of claim 26 having microtubule severing activity, wherein said polypeptide comprises an isolated p60 subunit of katanin, and wherein said p60 subunit is encoded by a nucleic acid that hybridizes with a nucleic acid encoding the amino acid of SEQ ID NO:1, when incubated at 42°C overnight in 50% formamide.
- 12. (Original) The method of claim 10, wherein said p60 subunit is a polypeptide having the amino acid sequence of SEQ ID NO:1.
- 13. (Original) The method of claim 1, wherein said method is performed in an array where said array comprises a multiplicity of reaction mixtures, each reaction mixture

comprising a distinct and distinguishable domain of said array, and wherein said steps are performed in each reaction mixture.

- 14. (Original) The method of claim 13, wherein said array comprises a microtitre plate.
- 15. (Original) The method of claim 13, wherein said array comprises at least 48 of said reaction mixtures.
- 16. (Currently Amended) The method of claim 13, wherein said <u>test</u> agent is one of a plurality of <u>test</u> agents and wherein each reaction mixture comprises one <u>test</u> agent of said plurality of <u>test</u> agents.
- 17. (Currently Amended) A method of identifying a therapeutic lead compound that modulates at least one activity selected from the group consisting of depolymerization of polymerization, and severing of a microtubule system, said method comprising the steps of:
  - i) providing an assay mixture comprising a katanin p60 subunit and a microtubules microtubule;
  - ii) contacting said assay mixture with a test eompound agent to be screened for the ability to inhibit or enhance the microtubule severing or ATPase activity of said p60 subunit; and
  - detecting at least one of specific binding of said test compound to said p60 subunit or and a change in the ATPase activity of said p60 subunit.
- 18. (Original) The method of claim 17, wherein said detecting comprises detecting ATPase activity utilizing malachite green as a detection reagent.
- 19. (Original) The method of claim 17, wherein said p60 subunit is labeled and said test agent is attached to a solid support.



- 20. (Original) The method of claim 17, wherein said test agent is labeled and said p60 subunit is attached to a solid support.
- 21. (Currently Amended) The method of claim 17, wherein said microtubules are stabilized by contact with an agent selected from the group consisting of paclitaxel, a paclitaxel analogue, and a non-hydrolyzable nucleotide GTP analogue.
- 22. (Original) The method of claim 17, wherein said method is performed in an array where said array comprises a multiplicity of reaction mixtures, each reaction mixture comprising a distinct and distinguishable domain of said array, and wherein said steps are performed in each reaction mixture.
- 23. (Original) The method of claim 22, wherein said array comprises a microtitre plate.
- 24. (Original) The method of claim 22, wherein said array comprises at least 48 of said reaction mixtures.
- 25. (Currently Amended) The method of claim 22, wherein said <u>test</u> agent comprises one of a plurality of <u>test</u> agents and wherein each reaction mixture comprises one <u>test</u> agent of said plurality of <u>test</u> agents.

Claims 26-42 (canceled)

- 43. (Currently Amended) A method of screening for an a test agent that alters microtubule polymerization or depolymerization or severing, said method comprising:
  - <u>a)</u> providing:
    - i) labeled tubulin, wherein the label of said labeled tubulin is

      selected from the group consisting of 4'-6-diamidino-2
      phenylindole (DAPI), anilinonapthalene sulfonate (ANS), bis
      ANS (Bis-anilinonapthalene sulfonate), N-phenyl-l-naphthylene



### (NPN), ruthernium red, cresol violet, and 4-(dicyanovinyl)julolidine (DCVJ); and

- ii) a test algent;
- b) contacting said labeled tubulin with said test agent to produce contacted tubulin; and
- comparing the fluorescence intensity or pattern of said contacted tubulin with the fluorescence intensity or pattern of labeled tubulin that is not contacted with said <u>test</u> agent, wherein a difference in fluorescence pattern or intensity between the contacted and the not contacted tubulin indicates that said <u>test</u> agent alters microtubule <del>polymerization or depolymerization</del> <u>severing</u>.
- 44. (Original) The method of claim 43, wherein said labeled tubulin is in <u>at least</u> one the form selected from the group consisting of tubulin monomers, tubulin dimers, or <u>and</u> tubulin oligomers.
- 45. (Original) The method of claim 43, wherein said labeled tubulin is in the form of a microtubule.
- 46. (Original) The method of claim 45, wherein said microtubule is attached to a solid surface.
  - 47. (Cancelled).
- 48. (Currently Amended) The method of claim 47 43, wherein said label is 4'-6-diamidino-2-phenylindole (DAPI).
- 49. (Original) The method of claim 46, wherein said microtubule is attached to said surface by binding with an agent selected from the group consisting of an inactivated microtubule motor protein, an avidin-biotin linkage, an anti-tubulin antibody, a microtubule binding protein (MAP), a polyarginine, a polyhistidine, and a polylysine.



- 50. (Currently Amended) The method of claim 43, wherein said contacting further comprises contacting said tubulin with a microtubule depolymerizing protein or a microtubule severing protein.
- 51. (Currently Amended) The method of claim 50, wherein said a microtubule severing protein or a microtubule depolymerizing protein is selected from the group consisting of a katanin, and a p60 subunit of a katanin, and AKCM1, and a OP18 polypeptide.
- 52. (Currently Amended) The method of claim 51, wherein said microtubule severing protein is a katanin or a p60 subunit of a katanin.
- 53. (Currently Amended) The method of claim 52, wherein said p60 subunit of a katanin is a polypeptide of claim 26 having microtubule severing activity, wherein said polypeptide comprises an isolated p60 subunit of katanin, and wherein said p60 subunit is encoded by a nucleic acid that hybridizes with a nucleic acid encoding the amino acid of SEQ ID NO:1, when incubated at 42°C overnight in 50% formamide.
- 54. (Original) The method of claim 52, wherein said p60 subunit is a polypeptide having the amino acid sequence of SEQ ID NO:1.
- 55. (Currently Amended) The method of claim 43, wherein said method is performed in an array where said array comprises a multiplicity of reaction mixtures. each reaction mixture comprising a distinct and distinguishable domain of said array, and wherein said steps are performed in each reaction mixture.
- 56. (Original) The method of claim 55 wherein said array comprises a microtitre plate.
- 57. (Original) The method of claim 55, wherein said array comprises at least 48 of said reaction mixtures.

- 58. (Currently Amended) The method of claim 55, wherein said <u>test</u> agent one of <u>comprises</u> a plurality of <u>test</u> agents and wherein each reaction mixture comprises one <u>test</u> agent of said plurality of <u>test</u> agents.
- 59. (Currently Amended) The method of claim 43, further comprising listing the test agents that alters microtubule polymerization, depolymerization, or severing into a database of therapeutic lead compounds that act on the cytoskeletal system.
- 60. (New) A method of screening for a test agent that alters at least one activity selected from the group consisting of microtubule polymerization, microtubule depolymerization, and microtubule severing, said method comprising:
  - a) providing:
    - i) labeled tubulin,
    - ii) an isolated polypeptide having at least one activity selected from the group consisting of microtubule polymerization activity, microtubule depolymerization activity, and microtubule severing activity, said polypeptide comprising a katanin p60 subunit, and
    - iii) a test agent;
  - b) contacting said labeled tubulin with said isolated polypeptide and with said test agent to produce contacted tubulin; and
  - c) comparing the fluorescence intensity or pattern of said contacted tubulin with the fluorescence intensity or pattern of labeled tubulin that is not contacted with said polypeptide and said test agent, wherein a difference in fluorescence pattern or intensity between the contacted and the not contacted tubulin indicates that said test agent alters at least one activity selected from the group consisting of microtubule polymerization, microtubule depolymerization, and microtubule severing.
- 61. (New) The method of Claim 60, wherein said labeled tubulin is in at least one form selected from the group consisting of tubulin monomers, tubulin dimers, and tubulin oligomers.





- 62. (New) The method of Claim 60, wherein said labeled tubulin is in the form of a microtubule.
- 63. (New) The method of Claim 62, wherein said microtubule is attached to a solid surface.
- 64. (New) The method of Claim 63, wherein said microtubule is attached to said surface by binding with a molecule selected from the group consisting of an inactivated microtubule motor protein, an avidin-biotin linkage, an anti-tubulin antibody, a microtubule binding protein (MAP), a polyarginine, a polyhistidine, and a polylysine.
- 65. (New) The method of Claim 62, wherein the label of said labeled tubulin is selected from the group consisting of 4'-6-diamidino-2-phenylindole (DAPI), anilinonapthalene sulfonate (ANS), bis-ANS (Bis-anilinonapthalene sulfonate), N-phenyl-1-naphthylene (NPN), ruthernium red, cresol violet, and 4-(dicyanovinyl)julolidine (DCVJ).
- 66. (New) The method of Claim 62, wherein the label of said labeled tubulin is 4'-6-diamidino-2-phenylindole (DAPI).
- 67. (New) The method of Claim 60, wherein said katanin p60 subunit is recombinant.
- 68. (New) The method of Claim 60, wherein said katanin p60 subunit has the amino acid sequence of SEQ ID NO:1.
- 69. (New) The method of Claim 60, wherein said method is performed in an array, wherein said array comprises a multiplicity of reaction mixtures.
- 70. (New) The method of Claim 69, wherein said array comprises a microtitre plate.

- 71. (New) The method of Claim 69, wherein said array comprises at least 48 of said reaction mixtures.
- 72. (New) The method of Claim 60, further comprising step d) listing the test agents that alter at least one of microtubule polymerization, microtubule depolymerization, and microtubule severing into a database.
- 73. (New) A method of screening for a test agent that alters at least one activity selected from the group consisting of microtubule polymerization, microtubule depolymerization, and microtubule severing, said method comprising:
  - a) providing:
    - i) labeled tubulih,
    - ii) an isolated katanin p60 subunit, and
    - iii) a test agent;
  - b) contacting said labeled tubulin with said isolated katanin p60 subunit and with said test agent to produce contacted tubulin; and
  - c) comparing the fluorescence intensity or pattern of said contacted tubulin with the fluorescence intensity or pattern of labeled tubulin that is not contacted with said polypeptide and said test agent, wherein a difference in fluorescence pattern or intensity between the contacted and the not contacted tubulin indicates that said test agent alters at least one activity selected from the group consisting of microtubule polymerization, microtubule depolymerization, and microtubule severing.
- 74. (New) The method of Claim 73, wherein said labeled tubulin is in at least one form selected from the group consisting of tubulin monomers, tubulin dimers, and tubulin oligomers.
- 75. (New) The method of Claim 73, wherein said labeled tubulin is in the form of a microtubule.





- 76. (New) The method of Claim 75, wherein said microtubule is attached to a solid surface.
- 77. (New) The method of Claim 76, wherein said microtubule is attached to said surface by binding with a molecule selected from the group consisting of an inactivated microtubule motor protein, an avidin-biotin linkage, an anti-tubulin antibody, a microtubule binding protein (MAP), a polyarginine, a polyhistidine, and a polylysine.
- 78. (New) The method of Claim 75, wherein the label of said labeled tubulin is selected from the group consisting of 4'-6-diamidino-2-phenylindole (DAPI), anilinonapthalene sulfonate (ANS), bis-ANS (Bis-anilinonapthalene sulfonate), N-phenyl-1-naphthylene (NPN), ruthernium red, cresol violet, and 4-(dicyanovinyl)julolidine (DCVJ).
- 79. (New) The method of Claim 75, wherein the label of said labeled tubulin is 4'-6-diamidino-2-phenylindole (DAPI).
- 80. (New) The method of Claim 73, wherein said katanin p60 subunit is recombinant.
- 81. (New) The method of Claim 73, wherein said katanin p60 subunit has the amino acid sequence of SEQ ID NO:1.
- 82. (New) The method of Claim 73, wherein said method is performed in an array, wherein said array comprises a multiplicity of reaction mixtures.
- 83. (New) The method of Claim 82, wherein said array comprises a microtitre plate.
- 84. (New) The method of Claim 82, wherein said array comprises at least 48 of said reaction mixtures.

- 85. (New) The method of Claim 73, further comprising step d) listing the test agents that alter at least one of microtubule polymerization, microtubule depolymerization, and microtubule severing into a database.
- 86. (New) A method of screening for a test agent that alters at least one activity selected from the group consisting of microtubule polymerization and depolymerization, said method comprising:
  - a) providing labeled tubulin;
  - b) contacting said labeled tubulin with said test agent to produce contacted tubulin; and
  - c) comparing the fluorescence intensity or pattern of said contacted tubulin with the fluorescence intensity or pattern of labeled tubulin that is not contacted with said test agent wherein a difference in fluorescence pattern or intensity between the contacted and the not contacted tubulin indicates that said test agent alters at least one activity selected from the group consisting of microtubule polymerization and depolymerization.
- 87. (New) The method of claim 86, wherein said labeled tubulin is in at least one form selected from the group consisting of tubulin monomers, tubulin dimers, and tubulin oligomers.
- 88. (New) The method of claim 86, wherein said labeled tubulin is in the form of a microtubule.
- 89. (New) The method of claim 88, wherein said microtubule is attached to a solid surface.
- 90. (New) The method of claim 88, wherein the label of said labeled tubulin is selected from the group consisting of 4'-6-diamidino-2-phenylindole (DAPI), anilinonapthalene sulfonate (ANS), bis-ANS (Bis-anilinonapthalene sulfonate),



N-phenyl-l-naphthylene (NPN), ruthernium red, cresol violet, and 4-(dicyanovinyl)julolidine (DCVJ).

- 91. (New) The method of claim 90, wherein said label is 4'-6-diamidino-2-phenylindole (DAPI).
- 92. (New) The method of claim 89, wherein said microtubule is attached to said surface by binding with an agent selected from the group consisting of an inactivated microtubule motor protein, an avidin-biotin linkage, an anti-tubulin antibody, a microtubule binding protein (MAP), a polyarginine, a polyhistidine, and a polylysine.
- 93. (New) A method of screening for a test agent that alters at least one activity selected from the group consisting of microtubule polymerization and depolymerization, said method comprising:
  - a) providing:
    - i) labeled tubulin,
    - ii) a microtubule depolymerizing protein, and
    - iii) a test agent;
  - b) contacting said tubulin with said microtubule depolymerizing protein and with said test agent to produce contacted tubulin; and
  - c) comparing the fluorescence intensity or pattern of said contacted tubulin with the fluorescence intensity or pattern of labeled tubulin that is not contacted with said test agent, wherein a difference in fluorescence pattern or intensity between the contacted and the not contacted tubulin indicates that said test agent alters at least one activity selected from the group consisting of microtubule polymerization and depolymerization.
- 94. (New) The method of claim 93, wherein said microtubule depolymerizing protein comprises a *Xenopus* kinesin central motor 1 (XKCM1) polypeptide.



- 95. (New) The method of claim 86, wherein said method is performed in an array where said array comprises a multiplicity of reaction mixtures, and wherein said steps are performed in each reaction mixture.
- 96. (New) The method of claim 95, wherein said array comprises a microtitre plate.
- 97. (New) The method of claim 95, wherein said array comprises at least 48 of said reaction mixtures.
- 98. (New) The method of claim 95, wherein said test agent comprises a plurality of test agents and wherein each reaction mixture comprises one test agent of said plurality of test agents.
- 99. (New) The method of claim 86, further comprising listing the test agents that alter at least one of microtubule polymerization and depolymerization into a database of therapeutic lead compounds that act on the cytoskeletal system.
- 100. (New) The method of claim 93, wherein said labeled tubulin is in at least one form selected from the group consisting of tubulin monomers, tubulin dimers, and tubulin oligomers.
- 101. (New) The method of claim 93, wherein said labeled tubulin is in the form of a microtubule.
- 102. (New) The method of claim 101, wherein said microtubule is attached to a solid surface.
- 103. (New) The method of claim 101, wherein the label of said labeled tubulin is selected from the group consisting of 4'-6-diamidino-2-phenylindole (DAPI), anilinonapthalene sulfonate (ANS), bis-ANS (Bis-anilinonapthalene sulfonate),

N-phenyl-l-naphthylene (NPN), ruthernium red, cresol violet, and 4-(dicyanovinyl)julolidine (DCVJ).

- (New) The method of claim 103, wherein said label is 4'-6-diamidino-2phenylindole (DAPI).
- (New) The method of claim 102, wherein said microtubule is attached to said 105. surface by binding with an agent selected from the group consisting of an inactivated microtubule motor protein, an avidin-biotin linkage, an anti-tubulin antibody, a microtubule binding protein (MAP), a polyarginine, a polyhistidine, and a polylysine.
- (New) The method of claim 93, wherein said method is performed in an array, 106. wherein said array comprises a multiplicity of reaction mixtures, and wherein said steps are performed in each reaction mixture.
- (New) The method of claim 106, wherein said array comprises a microtitre plate.
- 108. (New) The method of claim 106, wherein said array comprises at least 48 of said reaction mixtures.
- (New) The method of claim 106, wherein said test agent comprises a plurality of test agents, and wherein each reaction mixture comprises\one test agent of said plurality of test agents.
- (New) The method of claim 93, further comprising listing the test agents that 110. alter at least one of microtubule polymerization and depolymerization into a database of therapeutic lead compounds that act on the cytoskeletal system.
- 111. (New) The method of claim 93, wherein said microtubule depolymerizing protein is a *Xenopus* kinesin central motor 1 (XKCM1) polypeptide.

- 112. (New) A method of screening for a test agent that alters at least one activity selected from the group consisting of microtubule polymerization and depolymerization, said method comprising:
  - a) providing:
    - i) labeled tubulin,
    - ii) a microtubule depolymerizing protein comprising a stathmin polypeptide, and
    - iii) a test agent;
  - b) contacting said labeled tubulin with said microtubule depolymerizing protein and with said test agent to produce contacted tubulin; and
  - c) comparing the fluorescence intensity or pattern of said contacted tubulin with the fluorescence intensity or pattern of labeled tubulin that is not contacted with said test agent, wherein a difference in fluorescence pattern or intensity between the contacted and the not contacted tubulin indicates that said test agent alters at least one activity selected from the group consisting of microtubule polymerization and depolymerization.
- 113. (New) The method of claim 112, wherein said method is performed in an array where said array comprises a multiplicity of reaction mixtures, and wherein said steps are performed in each reaction mixture.
- 114. (New) The method of claim 113, wherein said array comprises a microtitre plate.
- 115. (New) The method of claim 113, wherein said array comprises at least 48 of said reaction mixtures.
- 116. (New) The method of claim 113, wherein said test agent comprises a plurality of test agents and wherein each reaction mixture comprises one test agent of said plurality of test agents.

- 117. (New) The method of claim 112, further comprising listing the test agents that alter at least one of microtubule polymerization and depolymerization into a database of therapeutic lead compounds that act on the cytoskeletal system.
- 118. (New) The method of claim 112, wherein said labeled tubulin is in at least one form selected from the group consisting of tubulin monomers, tubulin dimers, and tubulin oligomers.
- 119. (New) The method of claim 112, wherein said labeled tubulin is in the form of a microtubule.
- 120. (New) The method of claim 119, wherein said microtubule is attached to a solid surface.
- 121. (New) The method of claim 119, wherein the label of said labeled tubulin is selected from the group consisting of 4'6-diamidino-2-phenylindole (DAPI), anilinonapthalene sulfonate (ANS), bis-ANS (Bis-anilinonapthalene sulfonate), N-phenyl-1-naphthylene (NPN), ruthernium red, cresol violet, and 4-(dicyanovinyl)julolidine (DCVJ).
- 122. (New) The method of claim 21, wherein said label is 4'-6-diamidino-2-phenylindole (DAPI).
- 123. (New) The method of claim 120, wherein said microtubule is attached to said surface by binding with an agent selected from the group consisting of an inactivated microtubule motor protein, an avidin-biotin linkage, an anti-tubulin antibody, a microtubule binding protein (MAP), a polyarginine, a polyhistidine, and a polylysine.
- 124. (New) The method of claim 112, wherein said microtubule depolymerizing protein is a stathmin polypeptide.

# APPENDIX III CURRENTLY PENDING CLAIMS

The following is a clean version of the list of pending claims following entry of the instant amendment.

- 1. (Currently Amended) A method of identifying a test agent that modulates at least one activity selected from the group consisting of microtubule depolymerization, microtubule polymerization and microtubule severing, said method comprising the steps of:
- (i) contacting a polymerized microtubule with at least one protein selected from the group consisting of a microtubule severing protein and a microtubule depolymerizing protein, in the presence of ATP or GTP, and said test agent; and
- (ii) detecting the formation of at least one product selected from the group consisting of tubulin monomers, dimers and oligomers, wherein the formation of said tubulin monomers, dimers, or oligomers indicates that said test agent modulates microtubule depolymerization.
- 2. (Currently Amended) The method of claim 1, wherein said polymerized microtubule is labeled with 4'-6-diamidino-2-phenylindole (DAPI).
- 3. (Original) The method of claim 1, wherein said detecting is by fluorescent resonance energy transfer (FRET).
- 4. (Original) The method of claim 2, wherein said detecting, comprising detecting a change in fluorescence of said labeled microtubule.
- 5. (Original) The method of claim 1, wherein said detecting comprises centrifuging said tubulin monomers if present.

- 6. (Original) The method of claim 1, wherein said microtubules are stabilized by contact with an agent selected from the group consisting of paclitaxel, a paclitaxel analogue, and a non-hydrolyzable nucleotide GTP analogue.
- 7. (Original) The method of claim 1, wherein said microtubule is attached to a solid surface.
- 8. (Original) The method of claim 7, wherein said microtubule is attached to said surface by binding with an agent selected from the group consisting of an inactivated microtubule motor protein, an avidin-biotin linkage, an anti-tubulin antibody, a microtubule binding protein (MAP), and a polylysine.
- 9. (Currently Amended) The method of claim 1, wherein said microtubule severing protein or microtubule depolymerizing protein is selected from the group consisting of katanin polypeptide, p60 subunit of katanin polypeptide, *Xenopus* kinesin central motor 1 (XKCM1) polypeptide, and stathmin (OP18) polypeptide.
- 10. (Currently Amended) The method of claim 9, wherein said microtubule severing protein is katanin polypeptide or p60 subunit of katanin polypeptide.
- 11. (Currently Amended) The method of claim 10, wherein said p60 subunit of a katanin is a polypeptide having microtubule severing activity, wherein said polypeptide comprises an isolated p60 subunit of katanin, and wherein said p60 subunit is encoded by a nucleic acid that hybridizes with a nucleic acid encoding the amino acid of SEQ ID NO:1, when incubated at 42°C overnight in 50% formamide.
- 12. (Original) The method of claim 10, wherein said p60 subunit is a polypeptide having the amino acid sequence of SEQ ID NO:1.
- 13. (Original) The method of claim 1, wherein said method is performed in an array where said array comprises a multiplicity of reaction mixtures, each reaction mixture

comprising a distinct and distinguishable domain of said array, and wherein said steps are performed in each reaction mixture.

- 14. (Original) The method of claim 13, wherein said array comprises a microtitre plate.
- 15. (Original) The method of claim 13, wherein said array comprises at least 48 of said reaction mixtures.
- 16. (Currently Amended) The method of claim 13, wherein said test agent is one of a plurality of test agents and wherein each reaction mixture comprises one test agent of said plurality of test agents.
- 17. (Currently Amended) A method of identifying a therapeutic lead compound that modulates at least one activity selected from the group consisting of depolymerization, polymerization, and severing of a microtubule system, said method comprising the steps of:
  - i) providing an assay mixture comprising a katanin p60 subunit and a microtubule;
  - ii) contacting said assay mixture with a test agent to be screened for the ability to inhibit or enhance the microtubule severing or ATPase activity of said p60 subunit; and
  - detecting at least one of specific binding of said test compound to said p60 subunit and a change in the ATPase activity of said p60 subunit.
- 18. (Original) The method of claim 17, wherein said detecting comprises detecting ATPase activity utilizing malachite green as a detection reagent.
- 19. (Original) The method of claim 17, wherein said p60 subunit is labeled and said test agent is attached to a solid support.

- 20. (Original) The method of claim 17, wherein said test agent is labeled and said p60 subunit is attached to a solid support.
- 21. (Currently Amended) The method of claim 17, wherein said microtubules are stabilized by contact with an agent selected from the group consisting of paclitaxel, a paclitaxel analogue, and a non-hydrolyzable nucleotide GTP analogue.
- 22. (Original) The method of claim 17, wherein said method is performed in an array where said array comprises a multiplicity of reaction mixtures, each reaction mixture comprising a distinct and distinguishable domain of said array, and wherein said steps are performed in each reaction mixture.
- 23. (Original) The method of claim 22, wherein said array comprises a microtitre plate.
- 24. (Original) The method of claim 22, wherein said array comprises at least 48 of said reaction mixtures.
- 25. (Currently Amended) The method of claim 22, wherein said test agent comprises one of a plurality of test agents and wherein each reaction mixture comprises one test agent of said plurality of test agents.

Claims 26-42 (canceled)

- 43. (Currently Amended) A method of screening for a test agent that alters microtubule severing, said method comprising:
  - a) providing:
    - i) labeled tubulin, wherein the label of said labeled tubulin is selected from the group consisting of 4'-6-diamidino-2-phenylindole (DAPI), anilinonapthalene sulfonate (ANS), bis-ANS (Bis-anilinonapthalene sulfonate), N-phenyl-l-naphthylene

(NPN), ruthernium red, cresol violet, and 4-(dicyanovinyl)julolidine (DCVJ); and

- ii) a test agent;
- b) contacting said labeled tubulin with said test agent to produce contacted tubulin; and
- c) comparing the fluorescence intensity or pattern of said contacted tubulin with the fluorescence intensity or pattern of labeled tubulin that is not contacted with said test agent, wherein a difference in fluorescence pattern or intensity between the contacted and the not contacted tubulin indicates that said test agent alters microtubule severing.
- 44. (Original) The method of claim 43, wherein said labeled tubulin is in at least one form selected from the group consisting of tubulin monomers, tubulin dimers, and tubulin oligomers.
- 45. (Original) The method of claim 43, wherein said labeled tubulin is in the form of a microtubule.
- 46. (Original) The method of claim 45, wherein said microtubule is attached to a solid surface.
  - 47. (Cancelled).
- 48. (Currently Amended) The method of claim 43, wherein said label is 4'-6-diamidino-2-phenylindole (DAPI).
- 49. (Original) The method of claim 46, wherein said microtubule is attached to said surface by binding with an agent selected from the group consisting of an inactivated microtubule motor protein, an avidin-biotin linkage, an anti-tubulin antibody, a microtubule binding protein (MAP), a polyarginine, a polyhistidine, and a polylysine.

- 50. (Currently Amended) The method of claim 43, wherein said contacting further comprises contacting said tubulin with a microtubule severing protein.
- 51. (Currently Amended) The method of claim 50, wherein said microtubule severing protein is selected from the group consisting of a katanin, and a p60 subunit of a katanin.
- 52. (Currently Amended) The method of claim 51, wherein said microtubule severing protein is a p60 subunit of a katanin.
- 53. (Currently Amended) The method of claim 52, wherein said p60 subunit of a katanin is a polypeptide having microtubule severing activity, wherein said polypeptide comprises an isolated p60 subunit of katanin, and wherein said p60 subunit is encoded by a nucleic acid that hybridizes with a nucleic acid encoding the amino acid of SEQ ID NO:1, when incubated at 42°C overnight in 50% formamide.
- 54. (Original) The method of claim 52, wherein said p60 subunit is a polypeptide having the amino acid sequence of SEQ ID NO:1.
- 55. (Currently Amended) The method of claim 43, wherein said method is performed in an array where said array comprises a multiplicity of reaction mixtures, and wherein said steps are performed in each reaction mixture.
- 56. (Original) The method of claim 55, wherein said array comprises a microtitre plate.
- 57. (Original) The method of claim 55, wherein said array comprises at least 48 of said reaction mixtures.

- 58. (Currently Amended) The method of claim 55, wherein said test agent comprises a plurality of test agents and wherein each reaction mixture comprises one test agent of said plurality of test agents.
- 59. (Currently Amended) The method of claim 43, further comprising listing the test agents that alter microtubule severing into a database of therapeutic lead compounds that act on the cytoskeletal system.
- 60. (New) A method of screening for a test agent that alters at least one activity selected from the group consisting of microtubule polymerization, microtubule depolymerization, and microtubule severing, said method comprising:
  - a) providing:
    - i) labeled tubulin,
    - ii) an isolated polypeptide having at least one activity selected from the group consisting of microtubule polymerization activity, microtubule depolymerization activity, and microtubule severing activity, said polypeptide comprising a katanin p60 subunit, and
    - iii) a test agent;
  - b) contacting said labeled tubulin with said isolated polypeptide and with said test agent to produce contacted tubulin; and
  - c) comparing the fluorescence intensity or pattern of said contacted tubulin with the fluorescence intensity or pattern of labeled tubulin that is not contacted with said polypeptide and said test agent, wherein a difference in fluorescence pattern or intensity between the contacted and the not contacted tubulin indicates that said test agent alters at least one activity selected from the group consisting of microtubule polymerization, microtubule depolymerization, and microtubule severing.
- 61. (New) The method of Claim 60, wherein said labeled tubulin is in at least one form selected from the group consisting of tubulin monomers, tubulin dimers, and tubulin oligomers.

- 62. (New) The method of Claim 60, wherein said labeled tubulin is in the form of a microtubule.
- 63. (New) The method of Claim 62, wherein said microtubule is attached to a solid surface.
- 64. (New) The method of Claim 63, wherein said microtubule is attached to said surface by binding with a molecule selected from the group consisting of an inactivated microtubule motor protein, an avidin-biotin linkage, an anti-tubulin antibody, a microtubule binding protein (MAP), a polyarginine, a polyhistidine, and a polylysine.
- 65. (New) The method of Claim 62, wherein the label of said labeled tubulin is selected from the group consisting of 4'-6-diamidino-2-phenylindole (DAPI), anilinonapthalene sulfonate (ANS), bis-ANS (Bis-anilinonapthalene sulfonate), N-phenyl-1-naphthylene (NPN), ruthernium red, cresol violet, and 4-(dicyanovinyl)julolidine (DCVJ).
- 66. (New) The method of Claim 62, wherein the label of said labeled tubulin is 4'-6-diamidino-2-phenylindole (DAPI).
- 67. (New) The method of Claim 60, wherein said katanin p60 subunit is recombinant.
- 68. (New) The method of Claim 60, wherein said katanin p60 subunit has the amino acid sequence of SEQ ID NO:1.
- 69. (New) The method of Claim 60, wherein said method is performed in an array, wherein said array comprises a multiplicity of reaction mixtures.
- 70. (New) The method of Claim 69, wherein said array comprises a microtitre plate.

- 71. (New) The method of Claim 69, wherein said array comprises at least 48 of said reaction mixtures.
- 72. (New) The method of Claim 60, further comprising step d) listing the test agents that alter at least one of microtubule polymerization, microtubule depolymerization, and microtubule severing into a database.
- 73. (New) A method of screening for a test agent that alters at least one activity selected from the group consisting of microtubule polymerization, microtubule depolymerization, and microtubule severing, said method comprising:
  - a) providing:
    - i) labeled tubulin,
    - ii) an isolated katanin p60 subunit, and
    - iii) a test agent;
  - b) contacting said labeled tubulin with said isolated katanin p60 subunit and with said test agent to produce contacted tubulin; and
  - c) comparing the fluorescence intensity or pattern of said contacted tubulin with the fluorescence intensity or pattern of labeled tubulin that is not contacted with said polypeptide and said test agent, wherein a difference in fluorescence pattern or intensity between the contacted and the not contacted tubulin indicates that said test agent alters at least one activity selected from the group consisting of microtubule polymerization, microtubule depolymerization, and microtubule severing.
- 74. (New) The method of Claim 73, wherein said labeled tubulin is in at least one form selected from the group consisting of tubulin monomers, tubulin dimers, and tubulin oligomers.
- 75. (New) The method of Claim 73, wherein said labeled tubulin is in the form of a microtubule.

- 76. (New) The method of Claim 75, wherein said microtubule is attached to a solid surface.
- 77. (New) The method of Claim 76, wherein said microtubule is attached to said surface by binding with a molecule selected from the group consisting of an inactivated microtubule motor protein, an avidin-biotin linkage, an anti-tubulin antibody, a microtubule binding protein (MAP), a polyarginine, a polyhistidine, and a polylysine.
- 78. (New) The method of Claim 75, wherein the label of said labeled tubulin is selected from the group consisting of 4'-6-diamidino-2-phenylindole (DAPI), anilinonapthalene sulfonate (ANS), bis-ANS (Bis-anilinonapthalene sulfonate), N-phenyl-1-naphthylene (NPN), ruthernium red, cresol violet, and 4-(dicyanovinyl)julolidine (DCVJ).
- 79. (New) The method of Claim 75, wherein the label of said labeled tubulin is 4'-6-diamidino-2-phenylindole (DAPI).
- 80. (New) The method of Claim 73, wherein said katanin p60 subunit is recombinant.
- 81. (New) The method of Claim 73, wherein said katanin p60 subunit has the amino acid sequence of SEQ ID NO:1.
- 82. (New) The method of Claim 73, wherein said method is performed in an array, wherein said array comprises a multiplicity of reaction mixtures.
- 83. (New) The method of Claim 82, wherein said array comprises a microtitre plate.
- 84. (New) The method of Claim 82, wherein said array comprises at least 48 of said reaction mixtures.

- 85. (New) The method of Claim 73, further comprising step d) listing the test agents that alter at least one of microtubule polymerization, microtubule depolymerization, and microtubule severing into a database.
- 86. (New) A method of screening for a test agent that alters at least one activity selected from the group consisting of microtubule polymerization and depolymerization, said method comprising:
  - a) providing labeled tubulin;
  - b) contacting said labeled tubulin with said test agent to produce contacted tubulin; and
  - c) comparing the fluorescence intensity or pattern of said contacted tubulin with the fluorescence intensity or pattern of labeled tubulin that is not contacted with said test agent wherein a difference in fluorescence pattern or intensity between the contacted and the not contacted tubulin indicates that said test agent alters at least one activity selected from the group consisting of microtubule polymerization and depolymerization.
- 87. (New) The method of claim 86, wherein said labeled tubulin is in at least one form selected from the group consisting of tubulin monomers, tubulin dimers, and tubulin oligomers.
- 88. (New) The method of claim 86, wherein said labeled tubulin is in the form of a microtubule.
- 89. (New) The method of claim 88, wherein said microtubule is attached to a solid surface.
- 90. (New) The method of claim 88, wherein the label of said labeled tubulin is selected from the group consisting of 4'-6-diamidino-2-phenylindole (DAPI), anilinonapthalene sulfonate (ANS), bis-ANS (Bis-anilinonapthalene sulfonate),

N-phenyl-1-naphthylene (NPN), ruthernium red, cresol violet, and 4-(dicyanovinyl)julolidine (DCVJ).

- 91. (New) The method of claim 90, wherein said label is 4'-6-diamidino-2-phenylindole (DAPI).
- 92. (New) The method of claim 89, wherein said microtubule is attached to said surface by binding with an agent selected from the group consisting of an inactivated microtubule motor protein, an avidin-biotin linkage, an anti-tubulin antibody, a microtubule binding protein (MAP), a polyarginine, a polyhistidine, and a polylysine.
- 93. (New) A method of screening for a test agent that alters at least one activity selected from the group consisting of microtubule polymerization and depolymerization, said method comprising:
  - a) providing:
    - i) labeled tubulin,
    - ii) a microtubule depolymerizing protein, and
    - iii) a test agent;
  - b) contacting said tubulin with said microtubule depolymerizing protein and with said test agent to produce contacted tubulin; and
  - c) comparing the fluorescence intensity or pattern of said contacted tubulin with the fluorescence intensity or pattern of labeled tubulin that is not contacted with said test agent, wherein a difference in fluorescence pattern or intensity between the contacted and the not contacted tubulin indicates that said test agent alters at least one activity selected from the group consisting of microtubule polymerization and depolymerization.
- 94. (New) The method of claim 93, wherein said microtubule depolymerizing protein comprises a *Xenopus* kinesin central motor 1 (XKCM1) polypeptide.

- 95. (New) The method of claim 86, wherein said method is performed in an array where said array comprises a multiplicity of reaction mixtures, and wherein said steps are performed in each reaction mixture.
- 96. (New) The method of claim 95, wherein said array comprises a microtitre plate.
- 97. (New) The method of claim 95, wherein said array comprises at least 48 of said reaction mixtures.
- 98. (New) The method of claim 95, wherein said test agent comprises a plurality of test agents and wherein each reaction mixture comprises one test agent of said plurality of test agents.
- 99. (New) The method of claim 86, further comprising listing the test agents that alter at least one of microtubule polymerization and depolymerization into a database of therapeutic lead compounds that act on the cytoskeletal system.
- 100. (New) The method of claim 93, wherein said labeled tubulin is in at least one form selected from the group consisting of tubulin monomers, tubulin dimers, and tubulin oligomers.
- 101. (New) The method of claim 93, wherein said labeled tubulin is in the form of a microtubule.
- 102. (New) The method of claim 101, wherein said microtubule is attached to a solid surface.
- 103. (New) The method of claim 101, wherein the label of said labeled tubulin is selected from the group consisting of 4'-6-diamidino-2-phenylindole (DAPI), anilinonapthalene sulfonate (ANS), bis-ANS (Bis-anilinonapthalene sulfonate),

N-phenyl-l-naphthylene (NPN), ruthernium red, cresol violet, and 4-(dicyanovinyl)julolidine (DCVJ).

- 104. (New) The method of claim 103, wherein said label is 4'-6-diamidino-2-phenylindole (DAPI).
- 105. (New) The method of claim 102, wherein said microtubule is attached to said surface by binding with an agent selected from the group consisting of an inactivated microtubule motor protein, an avidin-biotin linkage, an anti-tubulin antibody, a microtubule binding protein (MAP), a polyarginine, a polyhistidine, and a polylysine.
- 106. (New) The method of claim 93, wherein said method is performed in an array, wherein said array comprises a multiplicity of reaction mixtures, and wherein said steps are performed in each reaction mixture.
- 107. (New) The method of claim 106, wherein said array comprises a microtitre plate.
- 108. (New) The method of claim 106, wherein said array comprises at least 48 of said reaction mixtures.
- 109. (New) The method of claim 106, wherein said test agent comprises a plurality of test agents, and wherein each reaction mixture comprises one test agent of said plurality of test agents.
- 110. (New) The method of claim 93, further comprising listing the test agents that alter at least one of microtubule polymerization and depolymerization into a database of therapeutic lead compounds that act on the cytoskeletal system.
- 111. (New) The method of claim 93, wherein said microtubule depolymerizing protein is a *Xenopus* kinesin central motor 1 (XKCM1) polypeptide.

- 112. (New) A method of screening for a test agent that alters at least one activity selected from the group consisting of microtubule polymerization and depolymerization, said method comprising:
  - a) providing:
    - i) labeled tubulin,
    - ii) a microtubule depolymerizing protein comprising a stathmin polypeptide, and
    - iii) a test agent;
  - b) contacting said labeled tubulin with said microtubule depolymerizing protein and with said test agent to produce contacted tubulin; and
  - c) comparing the fluorescence intensity or pattern of said contacted tubulin with the fluorescence intensity or pattern of labeled tubulin that is not contacted with said test agent, wherein a difference in fluorescence pattern or intensity between the contacted and the not contacted tubulin indicates that said test agent alters at least one activity selected from the group consisting of microtubule polymerization and depolymerization.
- 113. (New) The method of claim 112, wherein said method is performed in an array where said array comprises a multiplicity of reaction mixtures, and wherein said steps are performed in each reaction mixture.
- 114. (New) The method of claim 113, wherein said array comprises a microtitre plate.
- 115. (New) The method of claim 113, wherein said array comprises at least 48 of said reaction mixtures.
- 116. (New) The method of claim 113, wherein said test agent comprises a plurality of test agents and wherein each reaction mixture comprises one test agent of said plurality of test agents.

- 117. (New) The method of claim 112, further comprising listing the test agents that alter at least one of microtubule polymerization and depolymerization into a database of therapeutic lead compounds that act on the cytoskeletal system.
- 118. (New) The method of claim 112, wherein said labeled tubulin is in at least one form selected from the group consisting of tubulin monomers, tubulin dimers, and tubulin oligomers.
- 119. (New) The method of claim 112, wherein said labeled tubulin is in the form of a microtubule.
- 120. (New) The method of claim 119, wherein said microtubule is attached to a solid surface.
- 121. (New) The method of claim 119, wherein the label of said labeled tubulin is selected from the group consisting of 4'-6-diamidino-2-phenylindole (DAPI), anilinonapthalene sulfonate (ANS), bis-ANS (Bis-anilinonapthalene sulfonate), N-phenyl-l-naphthylene (NPN), ruthernium red, cresol violet, and 4-(dicyanovinyl)julolidine (DCVJ).
- 122. (New) The method of claim 121, wherein said label is 4'-6-diamidino-2-phenylindole (DAPI).
- 123. (New) The method of claim 120, wherein said microtubule is attached to said surface by binding with an agent selected from the group consisting of an inactivated microtubule motor protein, an avidin-biotin linkage, an anti-tubulin antibody, a microtubule binding protein (MAP), a polyarginine, a polyhistidine, and a polylysine.
- 124. (New) The method of claim 112, wherein said microtubule depolymerizing protein is a stathmin polypeptide.



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# ASSAYS FOR THE DETECTION OF MICROTUBULE DEPOLYMERIZATION INHIBITORS

### CROSS-REFERENCE TO RELATED APPLICATIONS

This application claims benefit under 35 U.S.C. § 119(e) of provisional patent USSN 60/081,734, filed on April 14, 1998, which is herein incorporated by reference in its entirety for all purposes.

# STATEMENT AS TO RIGHTS TO INVENTIONS MADE UNDER FEDERALLY SPONSORED RESEARCH AND DEVELOPMENT

[ Not Applicable ]

#### 10 FIELD OF THE INVENTION

This invention relates assay for agents that modulate (e.g. upregulate, downregulate or completely inhibit) microtubule depolymerizing or microtubule severing proteins. Such agents will have profound effects on progression of the cell cycle and act as potent anti-mitotic agents.

### 15 BACKGROUND OF THE INVENTION

The cytoskeleton constitutes a large family of proteins that are involved in many critical processes of biology, such as chromosome and cell division, cell motility and intracellular transport. (Vale and Kreis, (1993), Guidebook to the Cytoskeletal and Motor Proteins New York: Oxford University Press; Alberts et al., (1994) Molecular Biology of the Cell, 788-858). Cytoskeletal proteins are found in all cells and are involved in the pathogenesis of a large range of clinical diseases. The cytoskeleton includes a collection of polymer proteins, microtubules, actin, intermediate filaments, and septins, as well as a wide variety of proteins that bind to these polymers (polymer-interacting proteins). Some of the polymer-interacting proteins are molecular motors (myosins, kinesins, dyneins) (Goldstein (1993) Ann. Rev. Genetics 27: 319-351;

Mooseker and Cheney (1995) Annu. Rev. Cell Biol. 11: 633-675) that are essential for transporting material within cells (e.g., chromosomal movement during metaphase), for muscle contraction, and for cell migration. Other groups of proteins (e.g., vinculin, talin and alpha-actinin) link different filaments, connect the cytoskeleton to the plasma membrane, control the assembly and disassembly of the cytoskeletal polymers, and moderate the organization of the polymers within cells.

Given the central role of the cytoskeleton in cell division, cell migration, inflammation, and fungal/parasitic life cycles, it is a fertile system for drug discovery. Although much is known about the molecular and structural properties of cytoskeletal components, relatively little is known about how to efficiently manipulate cytoskeletal structure and function. Such manipulation requires the discovery and development of specific compounds that can predictably and safely alter cytoskeletal structure and function. However, at present, drug targets in the cytoskeleton have been relatively untapped. Extensive work has been directed towards drugs that interact with the cytoskeletal polymers themselves (e.g., taxol and vincristine), and towards motility assays. (Turner et al. (1996) Anal. Biochem. 242 (1): 20-5; Gittes et al. (1996) Biophys. J. 70 (1): 418-29; Shirakawa et al. (1995) J. Exp. Biol. 198: 1809-15; Winkelmann et al. (1995) Biophys. J. 68: 2444-53; and Winkelmann et al. (1995) Biophys. J. 68: 72S). Virtually no effort has been directed to finding drugs that target the cytoskeletal proteins that bind to the different filaments, which might be more specific targets with fewer unwanted side effects.

### **SUMMARY OF THE INVENTION**

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This invention pertains to the discovery that proteins (e.g. motor proteins) that either depolymerize or sever microtubules, provide good targets for modulators of such activity. Without being bound by a particular theory, it is believed that microtubule depolymerizing or severing activity is critical for normal formation and/or function of the mitotic spindle. Thus, agents that modulate (e.g., upregulate, downregulate, or completely inhibit) depolymerization or severing activity are expected to have a

significant activity on progression of the cell cycle (e.g. acting as potent anti-mitotic agents).

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\*This invention thus provides, in one embodiment, assays for identifying an agent that modulates microtubule depolymerization. The assays involve contacting a polymerized microtubule with a microtubule severing protein or a microtubule depolymerizing protein in the presence of an ATP or a GTP and the "test" agent; and detecting the formation of tubulin monomers, dimers, or oligomers. The microtubule can be labeled with any of a variety of labels, however in a preferred embodiment, it is labeled with DAPI. The formation of tubulin monomers, dimers, or oligomers can be detected by any of a wide variety of methods including, but not limited to changes in DAPI fluorescence, fluorescent resonance energy transfer (FRET), centrifugation, and the like. The microtubules are preferably microtubules that are either naturally stable (e.g., axonemal microtubules) or microtubules that have been stabilized (e.g., by contact with an agent such as paclitaxel, a paclitaxel analogue, or a non-hydrolyzable nucleotide GTP analogue. (e.g., such as guanylyl-(α,β)-methylene diphosphate (GMPCPP)).

The assays can be run in solution or in solid phase (*i.e.* where one or more assay components are attached to a solid surface. In one embodiment, of solid-phase assays, the microtubule is attached to the surface *e.g.*, by direct binding or by binding with an agent such as an inactivated microtubule motor protein, an avidin-biotin linkage, an anti-tubulin antibody, a microtubule binding protein (MAP), or a polylysine. The microtubule severing protein or microtubule depolymerizing protein is preferably a katanin, a p60 subunit of a katanin, an XKCM1, or an OP18 polypeptide. In a particularly preferred embodiment, the microtubule severing protein is a katanin or a p60 subunit of a katanin as described herein.

It was also a discovery of this invention that the katanin p60 subunit exhibits both the ATPase and microtubule severing activity observed in katanin. The p60 subunit thus provides a good target for screening for potential therapeutic lead compounds. Thus, in another embodiment, this invention provides methods for screening and for (identifying) a therapeutic lead compound that modulates

depolymerization or severing of a microtubule system. The methods involve providing an assay mixture comprising a katanin p60 subunit and a microtubule, contacting the assay mixture with a test compound to be screened for the ability to inhibit or enhance the microtubule-severing or ATPase activity of the p60 subunit; and detecting specific binding of the test compound to said p60 subunit or a change in the ATPase activity of the p60 subunit. The detecting can be by any of a wide variety of methods including, but not limited to detecting ATPase activity using malachite green as a detection reagent. Binding activity can be easily detected in binding assays in which the p60 subunit is labeled and said test agent is attached to a solid support or conversely, the test agent is labeled and the p60 subunit is attached to a solid support. In a preferred embodiment, the ATPase assays are performed in the presence of stabilized microtubules.

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The assay methods of this invention are also amendable amenable to high throughput screening. Thus, in one embodiment, any of the methods described herein is performed in an array where said array comprises a multiplicity of reaction mixtures. each reaction mixture comprising a distinct and distinguishable domain of said array, and wherein the assay steps are performed in each reaction mixture. The array can take a number of formats, however, in one preferred format, the array comprises a microtitre plate, preferably a microtitre plate comprising at least 48 and more preferably at least 96 reaction mixtures. The test agent can be one of a plurality of agents and each reaction mixture can comprises one agent of the plurality of agents.

In addition, this invention provides for polypeptides having microtubule severing activity. The polypeptides comprise an isolated p60 subunit of a katanin, where the p60 subunit is encoded by a nucleic acid that hybridizes under stringent conditions with a nucleic acid that encodes the katanin p60 amino acid sequence (SEQ ID NO: 1). NO:1). In a particularly preferred embodiment, the polypeptide is the polypeptide of SEQ ID NO: 1 NO:1 or the polypeptide of SEQ ID NO: 1 NO:1 having conservative substitutions. The polypeptide can comprise at least 8 contiguous amino acids from a polypeptide sequence encoded by a nucleic acid as set forth in SEQ ID NO: 1 NO:1, where the polypeptide, when presented as an antigen, elicits the

production of an antibody that specifically binds to a polypeptide sequence encoded by a nucleic acid as set forth in SEQ ID NO:1; and the polypeptide does not bind to antisera raised against a polypeptide encoded by a nucleic acid sequence as set forth in SEQ ID NO:1, that has been fully immunosorbed with a polypeptide encoded by a nucleic acid sequence as set forth in SEQ ID NO:1. In a most preferred embodiment, the polypeptide is polypeptide of SEQ ID NO:1.

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This invention also provides an isolated nucleic acid that encodes a katanin p60 subunit having microtubule severing activity. The nucleic acid preferably comprises a nucleic acid that specifically hybridizes with a nucleic acid that encodes the polypeptide of SEQ ID NO:1 under stringent conditions. The nucleic acid preferably encodes a polypeptide of SEQ ID No:1 NO:1 or conservative substitutions thereof. The katanin p60 encoding nucleic acid can be operably linked to a promoter (e.g. a baculovirus promoter) and may be present in a vector.

In another embodiment, this invention provides methods of screening for an agent that alters microtubule polymerization, or depolymerization, or severing. The methods involve providing labeled tubulin; contacting the labeled tubulin with the test agent to produce contacted tubulin; and comparing the fluorescence intensity or pattern of the contacted tubulin with the fluorescence intensity or pattern of labeled tubulin that is not contacted with the test agent where a difference in fluorescence pattern or intensity between the contacted and the not contacted tubulin indicates that the agent alters microtubule polymerization, or depolymerization, or severing. In particularly preferred embodiments, the labeled tubulin is in the form of tubulin monomers, tubulin dimers, tubulin oligomers, or a microtubule. In some embodiments, the microtubule is attached to a solid surface (e.g., by by binding with an agent selected from the group consisting of an inactivated microtubule motor protein, an avidin-biotin linkage, an anti-tubulin antibody, a microtubule binding protein (MAP), a polyarginine, a polyhistidine, and a polylysine). Preferred labels include DAPI, ANS, Bis-ANS, ruthenium red, cresol violet, and DCVJ, with DAPI being most preferred. In some embodiments, the "contacting" step can further comprise contacting the tubulin with a microtubule depolymerizing protein or a microtubule severing protein. Preferred

microtubule severing or a microtubule depolymerizing proteins include, but are not limited to katanin, a p60 subunit of a katanin, an XKCM1, and a OP18 polypeptide. A preferred p60 subunit of a katanin is a polypeptide of SEQ ID NO:1 NO:1. The method can further involve listing the agents that alters alter microtubule polymerization, depolymerization, or severing into a database of therapeutic lead compounds that act on the cytoskeletal system. This method can be performed in various array embodiments as described herein.

This invention also provides kits for practice of any of the methods described herein. In one embodiment, the kits comprise one or more containers containing an isolated microtubule severing protein or a microtubule depolymerizing protein. The kit can further comprise a polymerized microtubule labeled with DAPI. The microtubule can be stabilized by contact with paclitaxel or a paclitaxel derivative. The microtubule can also optionally be attached to a solid surface (e.g., by binding with an inactivated motor protein). The microtubule severing protein or microtubule depolymerizing protein is preferably selected from the group consisting of a katanin, a p60 subunit of a katanin, an XKCM1, and a OP18 polypeptide. In a particularly preferred embodiment, the microtubule severing protein is a katanin or a p60 subunit of a katanin.

### **DEFINITIONS**

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The term "nucleic acid" refers to deoxyribonucleotides or ribonucleotides and polymers thereof in either single- or double-stranded form. Unless specifically limited, the term encompasses nucleic acids containing known analogues of natural nucleotides which have similar binding properties as the reference nucleic acid and are metabolized in a manner similar to naturally occurring nucleotides. Unless otherwise indicated, a particular nucleic acid sequence also implicitly encompasses conservatively modified variants thereof (e.g. degenerate codon substitutions) and complementary sequences, and as well as the sequence explicitly indicated.

Specifically, degenerate codon substitutions may be achieved by generating sequences in which the third position of one or more selected (or all) codons is substituted with mixed-base and/or deoxyinosine residues (Batzer *et al.* (1991) *Nucleic Acid Res.* 19: 5081; Ohtsuka *et al.* (1985) J. *Biol Chem.* 260: 2605-2608; and Cassol *et al.* (1992); and Rossolini *et al.* (1994) *Mol Cell Probes* 8: 91-98). The term nucleic acid is used interchangeably with gene, cDNA, and mRNA encoded by a gene.

The terms "polypeptide", "peptide", or "polypeptide," "peptide," and "protein" are used interchangeably herein to designate a linear series of amino acid residues connected one to the other by peptide bonds between the alpha-amino and carboxy groups of adjacent residues. The amino acid residues are preferably in the natural "L" isomeric form. However, residues in the "D" isomeric form can be substituted for any L-amino acid residue, as long as the desired functional property is retained by the polypeptide. In addition, the amino acids, in addition to the 20 "standard" amino acids, include modified and unusual amino acids, which include, but are not limited to those listed in 37 CFR  $\Rightarrow$  §1.822(b)(4). Furthermore, it should be noted that a dash at the beginning or end of an amino acid residue sequence indicates either a peptide bond to a further sequence of one or more amino acid residues or a covalent bond to a carboxyl or hydroxyl end group.

The term "conservative substitution" is used in reference to proteins or peptides to reflect amino acid substitutions that do not substantially alter the activity (specificity or binding affinity) of the molecule. Typically conservative amino acid substitutions involve substitution one amino acid for another amino acid with similar chemical properties (e.g. charge or hydrophobicity). The following six groups each contain amino acids that are typical conservative substitutions for one another:

- 1) Alanine (A), Serine (S), Threonine (T);
  - 2) Aspartic acid (D), Glutamic acid (E);
  - 3) Asparagine (N), Glutamine (Q);
  - 4) Arginine (R), Lysine (K);
  - 5) Isoleucine (1), Leucine (L), Methionine (M), Valine (V); and
  - 6) Phenylalanine (F), Tyrosine (Y), Tryptophan (W).

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The terms "isolated" of and "biologically pure" refer to material which is substantially or essentially free from components which normally accompany it as found in its native state. However, the term "isolated" is not intended to refer to the components present in an electrophoretic gel or other separation medium. An isolated component is free from such separation media and in a form ready for use in another application or already in use in the new application/milieu.

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The terms "identical" or "identical," percent "identity," or and percent "homology" in the context of two or more nucleic acids or polypeptide sequences, refer to two or more sequences or subsequences that are the same or have a specified percentage of amino acid residues or nucleotides that are the same, when compared and aligned for maximum correspondence, as measured using one of the following sequence comparison algorithms or by visual inspection.

The phrase "substantially identical," in the context of two nucleic acids or polypeptides, refers to two or more sequences or subsequences that have at least 60%, preferably 80%, most preferably 90-95% or even at least 98% amino acid residue identity across a window of at least 30 nucleotides, preferably across a window of at least 40 nucleotides, more preferably across a window of at least 80 nucleotides, and most preferably across a window of at least 100 nucleotides, 150 nucleotides, 200 nucleotides or greater, when compared and aligned for maximum correspondence, as measured using one of the following sequence comparison algorithms or by visual inspection.

For sequence comparison, typically one sequence acts as a reference sequence, to which test sequences are compared. When using a sequence comparison algorithm, test and reference sequences are input into a computer, subsequence coordinates are designated, if necessary, and sequence algorithm program parameters are designated. The sequence comparison algorithm then calculates the percent sequence identity for the test sequence(s) relative to the reference sequence, based on the designated program parameters.

Optimal alignment of sequences for comparison can be conducted, <u>e.g.</u>, <u>for example</u>, by the local homology algorithm of Smith & Waterman, *Adv. Appl. Math.* 

2:482 (1981), by the homology alignment algorithm of Needleman & Wunsch, J Mol. Biol. 48:443 (1970), by the search for similarity method of Pearson & Lipman, *Proc. Natl. Acad Sci. USA* 85:2444 (1988), by computerized implementations of these algorithms (GAP, BESTFIT, FASTA, and TFASTA in the Wisconsin Genetics Software Package, Genetics Computer Group, 575 Science Dr., Madison, WI), or by visual inspection (*see generally See generally*. Ausubel *et al.*, *supra*).

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One example of a useful algorithm is PILEUP. PILEUP creates a multiple sequence alignment from a group of related sequences using progressive, pairwise alignments to show relationship and percent sequence identity. It also plots a tree or dendogram showing the clustering relationships used to create the alignment. PILEUP uses a simplification of the progressive alignment method of Feng & Doolittle (1987) J Mol. Evol. 3 5:3 51-360. The method used is similar to the method described by Higgins & Sharp (1989) CABIOS 5:151 -153. The program can align up to 300 sequences, each of a maximum length of 5,000 nucleotides or amino acids. The multiple alignment procedure begins with the pairwise alignment of the two most similar sequences, producing a cluster of two aligned sequences. This cluster is then aligned to the next most related sequence or cluster of aligned sequences. Two clusters of sequences are aligned by a simple extension of the pairwise alignment of two individual sequences. The final alignment is achieved by a series of progressive, pairwise alignments. The program is run by designating specific sequences and their amino acid or nucleotide coordinates for regions of sequence comparison and by designating the program parameters. For example, a reference sequence can be compared to other test sequences to determine the percent sequence identity relationship using the following parameters: default gap weight (3.00), default gap length weight (0.10), and weighted end gaps.

Another example of algorithm that is suitable for determining percent sequence identity and sequence similarity is the BLAST algorithm, which is described in Altschul *et al.* (1990) J. *Mol Biol.* 215:403-410. Software for performing BLAST analyses is publicly available through the National Center for Biotechnology

Information (http://www.nebi.rilm.nih.gov/). This algorithm involves first identifying high scoring sequence pairs (HSPs) by identifying short words of length \(\foath\) "W" in the query sequence, which either match or satisfy some positive-valued threshold score \( \pm \) "T" when aligned with a word of the same length in a database sequence. T "T" is referred to as the neighborhood word score threshold (Altschul et al, supra). These initial neighborhood word hits act as seeds for initiating searches to find longer HSPs containing them. The word hits are then extended in both directions along each sequence for as far as the cumulative alignment score can be increased. Extension of the word hits in each direction are halted when: the cumulative alignment score falls off by the quantity X "X" from its maximum achieved value; the cumulative score goes to zero or below, due to the accumulation of one or more negative-scoring residue alignments; or the end of either sequence is reached. The BLAST algorithm parameters W, T, and X "W," "T," and "X" determine the sensitivity and speed of the alignment. The BLAST program uses as defaults a wordlength (W) ("W") of 11, the BLOSUM62 scoring matrix (see See Henikoff & Henikoff (1989) Proc. Natl. Acad Sci USA 89:10915) alignments (B) of 50, expectation (E) of 10, M=5, N=-4, and a comparison of both strands.

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In addition to calculating percent sequence identity, the BLAST algorithm also performs a statistical analysis of the similarity between two sequences (see, See, e.g., Karlin & Altschul (1993) Proc. Natl. Acad Sci. USA 90:5873-5787). One measure of similarity provided by the BLAST algorithm is the smallest sum probability (P(N)), which provides an indication of the probability by which a match between two nucleotide or amino acid sequences would occur by chance. For example, a nucleic acid is considered similar to a reference sequence if the smallest sum probability in a comparison of the test nucleic acid to the reference nucleic acid is less than about 0.1, more preferably less than about 0.01, and most preferably less than about 0.001.

A further indication that two nucleic acid sequences or polypeptides are substantially identical is that the polypeptide encoded by the first nucleic acid is immunologically cross reactive with the polypeptide encoded by the second nucleic acid, as described below. Thus, a polypeptide is typically substantially identical to a second polypeptide, for example, where the two peptides differ only by conservative substitutions. Another indication that two nucleic acid sequences are substantially identical is that the two molecules hybridize to each other under stringent conditions, as described below.

The phrases "hybridizing specifically to" or "specific hybridization" or "selectively hybridize to", "hybridizing specifically to," "specific hybridization," and "selectively hybridize to," refer to the binding, duplexing, or hybridizing of a nucleic acid molecule preferentially to a particular nucleotide sequence under stringent conditions when that sequence is present in a complex mixture (e.g., total cellular) DNA or RNA.

The term "stringent conditions" refers to conditions under which a probe will hybridize preferentially to its target subsequence, and to a lesser extent to, or not at all to, other sequences. AStringent hybridization and Astringent hybridization wash eonditions≅in Stringent hybridization and stringent hybridization wash conditions in the context of nucleic acid hybridization experiments such as Southern and northern Northern hybridizations are sequence dependent, and are different under different environmental parameters. An extensive guide to the hybridization of nucleic acids is found in Tijssen (1993) Laboratory Techniques in Biochemistry and Molecular Biology--Hybridization with Nucleic Acid Probes part I chapter 2 Aoverview of principles of hybridization and the strategy of nucleic acid probe assays, Overview of Principles of Hybridization and the Strategy of Nucleic Acid Probe Assays, Elsevier, New York. Generally, highly stringent hybridization and wash conditions are selected to be about 5°C lower than the thermal melting point (T<sub>m</sub>) for the specific sequence at a defined ionic strength and pH. The T<sub>m</sub> is the temperature (under defined ionic strength and pH) at which 50% of the target sequence hybridizes to a perfectly matched probe. Very stringent conditions are selected to be equal to the  $T_{\mbox{\scriptsize m}}$  for a particular probe.

An example of stringent hybridization conditions for hybridization of complementary nucleic acids which have more than 100 complementary residues on a

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filter in a Southern or northern Northern blot is 50% formamide with 1 mg of heparin at 42°C, with the hybridization being carried out overnight. An example of highly stringent wash conditions is 0.15 M NaCl at 72°C for about 15 minutes. An example of stringent wash conditions is a 0.2x SSC wash at 65°C for 15 minutes (see, See, Sambrook et al. (1989) Molecular Cloning - A Laboratory Manual (2nd ed.) Vol. 1-3, Cold Spring Harbor Laboratory, Cold Spring Harbor Press, NY, [(Sambrook et al.) supra] for a description of SSC buffer). Often, a high stringency wash is preceded by a low stringency wash to remove background probe signal. An example medium stringency wash for a duplex of, e.g., more than 100-nucleotides duplex (e.g., of more than 100 nucleotides), is 1x SSC at 45°C for 15 minutes. An example low stringency wash for a duplex of, e.g., more than 100 nucleotides duplex (e.g., of more than 100 nucleotides), is 4-6x SSC at 40°C for 15 minutes. In general, a signal to noise ratio of 2x (or higher) than that observed for an unrelated probe in the particular hybridization assay indicates detection of a specific hybridization. Nucleic acids which do not hybridize to each other under stringent conditions are still substantially identical if the polypeptides which they encode are substantially identical. This occurs, e.g., for example, when a copy of a nucleic acid is created using the maximum codon degeneracy permitted by the genetic code.

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The terms "katanin" or "katanin p60 subunit" refer to katanin and the katanin p60 subunit as described herein, in the references cited and in the sequence listings. The terms also include proteins having substantial amino acid sequence identity with katanin or the katanin p60 subunit sequences provided herein that exhibit ATPase and microtubule severing activity.

The terms "taxol" and "taxol derivatives or analogues refer to the drug taxol known generically as paclitaxel (NSC number: 125973). Paclitaxel (taxol) derivatives and analogues show similar microtubule-stabilizing activity. Preferred derivatives include taxotere and others.

Depolymerized microtubule components defined to components are defined so as to include the products of microtubule depolymerization or severing. Include severing, and include tubulin monomers, dimers or and oligomers.

The term "test agent" refers to an agent that is to be screened in one or more of the assays described herein. The agent can be virtually any chemical compound. It can exist as a single isolated compound or can be a member of a chemical- (e.g., combinatorial) library. In a particularly preferred embodiment, the test agent will be a small organic molecule.

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The term small organic molecules refers to molecules of a size comparable to those organic molecules generally used in pharmaceuticals. The term excludes biological macromolecules (e.g., proteins, nucleic acids, etc.). Preferred small organic molecules range in size up to about 5000 Da, more preferably up to 2000 Da, and most preferably up to about 1000 Da.

The terms "label" or "detectable label" are used herein to refer to any composition detectable by spectroscopic, photochemical, biochemical, immunochemical, electrical, optical or chemical means. Such labels include biotin for staining with labeled streptavidin conjugate, magnetic beads (*e.g.*, *DynabeadsTM* Dynabeads™), fluorescent dyes (*e.g.*, fluorescein, texas red, rhodamine, green fluorescent protein, and the like), radiolabels (*e.g.*, ³H, <sup>125</sup>I, <sup>35</sup>S, <sup>14</sup>C, or <sup>32</sup>P), enzymes (*e.g.*, horse radish peroxidase, alkaline phosphatase and others commonly used in an ELISA), and colorimetric labels such as colloidal gold or colored glass or plastic (*e.g.*, polystyrene, polypropylene, latex, *etc.*) beads. Patents teaching the use of such labels include U.S. Patent Nos. 3,817,837; 3,850,752; 3,939,350; 3,996,345; 4,277,437; 4,275,149; and 4,366,241. Means of detecting such labels are well known to those of skill in the art. Thus, for example, radiolabels may be detected using photographic film or scintillation counters, fluorescent markers may be detected using a photodetector to detect emitted light. Enzymatic labels are typically detected by providing the enzyme with a substrate and detecting, the reaction product produced by the action of the

enzyme on the substrate, and colorimetric labels are detected by simply visualizing the colored label.

### **BRIEF DESCRIPTION OF THE DRAWINGS**

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Figures 1A (SEQ ID NO: 1) and 1B (SEQ ID NOS: 4-9) show the sequence analysis of p60 katanin. Figure 1A: Predicted protein sequence of the S. purpuratus katanin p60 subunit (SEQ ID NO:1; GENBANK AF052191). Sequences obtained by direct peptide microsequencing are underlined. Differences between the predicted peptide sequence and that obtained by direct sequencing are indicated by doubled underlines (S95 was reported as F, H99 was reported as P, and P138 was reported as T). The Walker A (P-loop) motif is shaded. Figure 1B: Amino acid sequence alignment of the p60 AAA domain (SEQ IDNO:4) with AAA members mei-1 (SEQ ID NO:5; C. elegans, GenBank L25423), Suglp (SEQ ID NO:6; S. cerevisiae, GenBank X66400), ftsH (SEQ ID NO:7; E. coli, GenBank M83138), Paslp (SEQ ID NO:8; S. cerevisiae, GenBank M58676), and NSF (SEQ ID NO:9; C. longicaudatus, GenBank X15652). Identical residues are shaded black, residues conserved in >60% of the shown members are shaded gray. Left hand numbering indicates the amino acid residue in the corresponding sequence. Alignment was performed using PILEUP (Genetics Computer Group) and the output was shaded using MACBOXSHADE.

Figure 2A (SEQ ID NO: 2) and 2B (SEQ ID NOS: 10-13) show the sequence analysis of p80 katanin. Figure 2A: Predicted protein sequence of the *S. purpuratus* katanin p80 subunit (SEQ ID NO:2; GENBANK AF052433). Sequences obtained by direct peptide microsequencing are underlined. Differences between the predicted peptide sequence and that obtained by direct peptide sequencing, or differences found between 2 different p80 cDNA clones are indicated by double underlines. Figure 2B: Amino acid sequence alignment of the WD40 repeat region of p80 (SEQ ID NO:10) with a putative human ortholog of p80 (Hs (SEQ ID NO:11; Homo sapiens p80, GenBank AF052432), TFIID (SEQ ID NO:12; Homo sapiens, GenBank U80191), and putative serine/threonine kinase PkwA (SEQ ID NO:13; Thermomonospora curvata,

GenBank P49695). Identical residues are shaded black, residues found in at least 2 sequences are shaded in grey. Left hand numbering indicates the amino acid residue in the corresponding sequence. Alignment was performed using PILEUP (Genetics Computer Group) and the output was shaded using MACBOXSHADE.

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Figure 3 illustrates the results of expression and purification of recombinant katanin subunits. Panel A shows ecomassie Coomassie-stained SDS-PAGE analysis of expressed katanin subunits. 6xHis-tagged (SEQ ID NO:14) katanin subunits were purified from lysates of baculovirus-infected insect cells by binding to Ni<sup>2+</sup>-NTA Superflow followed by elution with imidazole, as described in the Experimental Procedures. Cells were infected with either p60 virus alone, p80 virus alone, or coinfected with equal amounts of p60 and p80 viruses. Panel B shows immunoprecipitation performed on extracts of insect cells coinfected with p60- and p80expressing baculoviruses using affinity-purified p60 antibody crosslinked to protein A agarose. Proteins bound to the resin were analyzed by SDS-PAGE followed by staining with Coomassie. This immunoprecipitate shows that baculovirus-expressed p60 and p80 form a complex with equal stoichiometry.

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Figures 4 shows the structure of katanin as visualized by rotary-shadowing electron microscopy. Panel A shows 14-16 nm diameter rings observed in preparations of recombinant p60. Panel B shows single particles of recombinant p80; occasional aggregates are seen (rightmost picture) but rings are never observed. Panels C and 4D D show different rings observed in recombinant p60/p80 preparations. Panel C shows a "splayed" complex, consisting of a central p60-like ring surrounded by a halo particles that resemble p80. In Panel D, intact 20 nm diameter rings are seen with bright edges, suggesting they extend > 10 nm above the mica surface. All images are shown at 300,000X. The dimensions indicated above include the platinum shadowing, which typically adds 2 nm of material to the protein surface.

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Figures 5A, 5B, and 5C illustrate the activities of recombinant katanin subunits. Figure 5A shows ATPase activities of 0.04 μM p60 katanin (squares) and co-expressed p60/p80 (circles) determined at various microtubule concentrations as described in the Experimental Procedures. Both p60 katanin and p60/p80 show similar patterns of

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microtubule stimulation, with p60 katanin having approximately one half of the maximally stimulated ATPase activity of p60/p80. The insert in the upper right shows the stimulation of ATPase activity at low (0-2  $\mu$ M) microtubule concentration. Figure 5B shows microtubule severing activity of recombinant katanin subunits.

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Taxol-stabilized, rhodamine-labeled microtubules were adsorbed onto the surface of a microscope perfusion chamber, and then recombinant katanin subunits were introduced. The time elapsed after perfusing p60/p80 (0.1  $\mu$ M), p60 (0.1  $\mu$ M), or p80 (0.5  $\mu$ M) is shown. The recombinant co-expressed p60/p80 and p60, but not p80, can sever and disassemble microtubules. Scale bar, 10  $\mu$ m. Figure 5C shows quantitative measurement of microtubule disassembly using a DAPI fluorescence assay. MT indicates microtubules (2  $\mu$ M) without added protein, and tubulin indicates microtubules that had been depolymerized by treatment with 10 mM CaC1<sub>2</sub> on ice for 1.5 hr. p60 katanin and p60/p80 were added at 0.2  $\mu$ M concentration, and the fluorescence change as a function of time after protein addition is shown. p80 did not cause a change in fluorescence that was different from that shown for microtubules alone.

Figure 6 shows that the WD40 repeats of p80 katanin are not required for interaction with p60 katanin. Epitope-tagged derivatives of p80 and p60 were synthesized in vitro in vitro in a combined transcription-translation reaction. p60 and interacting proteins were immunoprecipitated with a p60-specific antibody and the resulting immunoprecipitates were resolved by SDS-PAGE and blotted to nitrocellulose. *In vitro* translated proteins were detected by chemiluminescence as described in Experimental Procedures. Lane 1: molecular weight standards Mr: 100,000, 75,000, 50,000, 35,000 and 25,000; lane 2: p60 co-translated with full-length p80; lane 3: p60 co-translated with the Δ560-690 derivative of p80; lane 4: p60 co-translated with the Δ1-302 derivative of p80; lane 5: p60 co-translated with the Δ303-690 derivative of p80. The structure of each deletion derivative of p80 is shown at right. The Δ560-690 and Δ303-690 translation products were detected in the supernatants of the immunoprecipitations (not shown).

Figure 7 shows that human p80 katanin and a fusion protein of the human p80 WD40 domain with GFP co-localize with  $\gamma$ -tubulin at centrosomes of MSU1.1 human fibroblasts. Panels A and B: Co-localization of immunofluorescence staining by a human p80 katanin-specific antibody (Panel A) and a  $\gamma$ -tubulin specific antibody (Panel B). Panels C-F show co-localization of GFP fluorescence (Panels C and E) with staining by a  $\gamma$ -tubulin-specific antibody (Panels D and F). Co-localization to two centrosomes seen in Panel C and Panel D while co-localization to a single centrosome is seen in Panels E and F. The apparently higher background of cytoplasmic green fluorescence in Panel E relative to Panel C is a display artifact. The fluorescence intensity of the centrosomes in Panel C is at least 5 fold greater than that of the centrosome in Panel E. The p80 antibody was detected with an Oregon Green 488 second antibody and the  $\gamma$ -tubulin antibody was detected with a Texas Red-X second antibody. Fluorescence signals were separated with fluorescein and Texas Red filter sets (Chroma Technologies). Bar = 14  $\mu$ m.

#### DETAILED DESCRIPTION

### I. Introduction.

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This invention provides assays for the identification of agents that modulate the activity of microtubule depolymerizing or severing proteins. The assays generally involve contacting a polymerized microtubule with a microtubule severing or depolymerizing protein (e.g., XKCM1, OP18, katanin, etc.) the presence of a test agent and a chemical energy source (e.g., ATP or GTP). The effect of the agent on the depolymerization or severing of the microtubules is then detected typically by detecting the formation of microtubule degradation components (e.g., tubulin monomers, tubulin dimers, or tubulin oligomers). Test agents that alter the amount and or rate of depolymerization or severing of microtubules as compared to one or more control assays are identified as modulators of microtubule depolymerizing or severing activity.

It was a discovery of this invention that certain proteins that either depolymerize or sever microtubules, provide good targets for modulators of normal mitotic spindle formation. Without being bound by a particular theory, it is believed that microtubule depolymerizing or severing activity is critical for normal mitotic spindle formation and/or function. Agents that modulate (e.g., upregulate, downregulate, or completely inhibit) depolymerization or severing activity are expected to have a significant activity on progression of the cell cycle. Thus, for example, inhibitors of microtubule depolymerization or severing will act as potent antimitotic agents.

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Anti-mitotic agents are useful in a wide variety of contexts. As powerful antimitotics or anti-meiotics, the inhibitors of microtubule depolymerizing or severing activity identified by the screening (assay) methods described herein, will have a wide variety of uses, particularly in the treatment (e.g., amelioration) of pathological conditions characterized by abnormal cell proliferation. Such conditions include, but are not limited to: fungal infections, abnormal stimulation of endothelial cells (e.g., atherosclerosis), solid tumors and tumor metastasis, benign tumors, (for example, hemangiomas, acoustic neuromas, neurofibromas, trachomas, and pyogenic granulomas), vascular malfunctions (e.g., arterio-venous malformations), abnormal wound healing, inflammatory and immune disorders, Bechet's disease, gout or gouty arthritis, abnormal angiogenesis accompanying: rheumatoid arthritis, psoriasis, diabetic retinopathy, and other ocular angiogenic diseases such as retinopathy of prematurity (retrolental fibroplasic), macular degeneration, corneal overgrowth, corneal graft rejection, neuroscular glaucoma, Oster Webber syndrome, and the like.

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The inhibitors of microtubule depolymerization or severing will also have a variety of *in vitro vitro* uses as well. For example, they can be used to freeze cells in a particular stage of the cell cycle for a variety of purposes (e.g., in the preparation of samples for of histological examination), in the isolation of nucleic acids from a particular stage of the cell cycle, and so forth.

The modulators identified by the assays of this invention are preferably characterized by specificity to the target microtubule depolymerizing or severing proteins or the pathways characteristic of the activity of these proteins. They therefor provide novel lead compounds for the development of highly specific inhibitors for depolymerizing and/or microtubule severing protein families and subfamilies, thus allowing for precise chemical intervention.

## IL Assays for the detection of microtubule depolymerization modulators.

## A) Depolymerization assay

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In one embodiment, this invention provides assays for the detection/identification of agents that have activity in modulating the depolymerization or severing of microtubules. The assays generally involve contacting a polymerized microtubule with a microtubule severing protein or a microtubule depolymerizing protein in the presence of a chemical energy source (e.g., ATP or GTP) and said agent; and detecting and/or quantifying the formation of microtubule degredation degradation products (e.g., tubulin monomers). Agents that inhibit the activity of the microtubule depolymerizing or severing proteins will inhibit the breakdown of the polymerized microtubules thereby delaying the formation of or reducing the quantity of tubulin monomers or oligomers. Thus a decrease in the rate of formation or amount of tubulin monomer or an increase in the ratio of tubulin polymer (microtubule) to tubulin monomer indicates an inhibitory modulating effect of the agent. Conversely, an increase in the rate of formation or amount of tubulin monomer or an increase in the ratio of tubulin polymer (microtubule) to tubulin monomer indicates a microtubule stabilizing modulating effect of the agent.

The increase or decrease is determined by reference to one or more controls. A control is essentially an identical assay that either lacks the test agent or contains a "reference" agent that has a known activity. Assays lacking any test agent whatsoever act as negative controls, while assays utilizing an agent that has known modulating activity act as positive controls.

In a preferred embodiment, the assay is scored as positive (*i.e.*, the agent has activity modulating a microtubule depolymerizing or severing protein) when there is a significant difference between the negative control and test assay and/or when there is no significant difference between the positive control and test assay. The significant difference is preferably a statistically significant difference, more preferably at least about a 10% difference, and most preferably at least about a 20%, 30%, 50% or 100% difference.

The assays can be performed in solution or in solid phase (i.e., with one or more components of the assay attached to a solid surface) as described below. One particularly preferred embodiment is described herein in Example I. The various components of the assay are described below.

## B) Binding assays.

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In another embodiment, this invention provides binding assays to identify agents that inhibit binding of depolymerizing or severing proteins to microtubules or for agents that specifically bind to the microtubule depolymerizing or severing polypeptide or polypeptide subunit.

In preferred binding assays, the ability of the test agent to specifically bind to the depolymerizing or severing protein is assayed. In a particularly preferred embodiment, the ability of the test agent to specifically bind to a katanin P60 p60 domain is assayed.

There are a wide variety of formats for binding assays. In one embodiment, the depolymerizing or severing protein or protein subunit is immobilized on a surface and contacted with the test agent or conversely test agent(s) are immobilized on a surface and specific binding of the protein or protein subunit is assayed. Binding is most easily detected where the moiety in solution (test agent or depolymerizing or severing protein) is labeled and after the contacting and washing off of unbound agents, identification of the labeled moiety associated with the support suggests binding.

Solution phase binding assays are also known to those of skill in the art. For example, in one embodiment, the binding assay is a cosedimentation assay. In this

(pelleting) assay, when the test agent binds to the microtubule severing or depolymerizing protein or protein subunits, the bound agent and protein will cosediment when centrifuged. Unbound polypeptide and test agent will either sediment at a different rate or remain fully in solution.

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Methods of performing various binding assays can be found in copending application USSN 60/057,895 filed on September 4, 1997. For a general description of different formats for protein binding assays, including competitive binding assays and direct binding assays, see assays (See, Stites and A. Terr (1991) Basic and Clinical Immunology, 7th Edition; Maggio (1980) Enzyme Immunoassay, CRC Press, Boca Raton, Florida; and Tijssen (1985) Practice and Theory of Enzyme Immunoassays, in Laboratory Techniques in Biochemisty and Molecular Biology, Elsevier Science Publishers, B.V. Amsterdam).

### C) ATPase assay.

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It was a discovery of this invention that the katanin p60 subunit is a new member of the AAA family of ATPases and that expressed p60 has microtubule-stimulated ATPase and microtubule-severing activities in the absence of the p80 subunit. Thus, in another embodiment, this invention provides assays for agents that modulate the ATPase activity of a katanin p60 subunit.

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ATPase assays are well known to those of skill in the art. In one preferred embodiment, the assay can be performed according to the methods described by Kodama *et al.* (1986) *J. Biochem.* 99: 1465-1472. This assay, described in detain detail in Example 1, is performed with the test agent present and the results are compared to negative and/or positive control assays to determine the ability of the test agent to alter (modulate) p60 ATPase activity.

## D) Solid phase assays.

In one embodiment, the assays of this invention can be performed in solid phase where one or more components of the assay is attached to a solid surface. <u>In</u>

solid phase Solid phases assays, one or more components of the assay is attached to a solid surface. Virtually any solid surface is suitable, as long as the surface material is compatible with the assay reagents and it is possible to attach the component to the surface without unduly altering the reactivity of the assay components. It is recognized that some components show reduced activity in solid phase, but this is generally acceptable so long as the activity is sufficient to detect and/or quantify depolymerization or severing activity of the subject protein.

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Solid supports include, essentially any solid surface, such as a glass bead, planar glass, controlled pore glass, plastic, porous plastic metal, or resin to which the molecule may be adhered. One of skill will appreciate that the solid supports may be derivatized with functional groups (e.g., hydroxyls, amines, carboxyls, esters, and sulfhydryls) to provide reactive sites for the attachment of linkers or the direct attachment of the component(s).

Adhesion of the assay component (e.g., microtubule(s)) to the solid support can be direct (i.e., the microtubule directly contacts the solid support) or indirect (i.e., a particular compound or compounds are bound to the support, and the assay component binds to this compound or compounds rather than to the solid support). The component can be immobilized either covalently (e.g., utilizing single reactive thiol groups of cysteine for anchoring protein components; (Colliuod et al. (1993) Bioconjugate Chem. 4, 528-536)), or non-covalently but specifically (e.g., via immobilized antibodies or other specific binding proteins (Schuhmann et al. (1991), Adv. Mater. 3: 388-391; and Lu et al. (1995), Anal. Chem. 67: 83-87), the biotin/streptavidin system (Iwane et al. (1997) Biophys. Biochem. Res. Comm. 230: 76-80), or metal-chelating Langmuir-Blodgett films (Ng et al. (1995) Langmuir 11: 4048-4055; Schmitt et al. (1996) Angew. Chem. Int. Ed. Engl. 35: 317-320; Frey et al. (1996) Proc. Natl. Acad. Sci. USA 93:4937-4941; and Kubalek et al. (1994) J Struct. Biol. 113:117-1231) and metal-chelating self-assembled monolayers (Sigal et al. (1996) Analytical Chem., 68: 490-497) for binding of polyhistidine fusion proteins.

In a preferred embodiment, the microtubule(s) are immobilized by attachment to an inactivated microtubule motor protein, by an avidin biotin linkage (preferably with the biotin on the microtubule and the avidin on the surface), by an anti-tubulin antibody, by a microtubule binding protein- (MAP), by an amino silane, a polylysine, or through interaction with a polycationic surface.

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By manipulating the solid support and the mode of attachment of the assay component to the support, it is possible to control the orientation of the assay component(s). For example, copending application USSN 60/057,929, filed on September 4, 1997, describes the use of an arginine tail to attach cytoskeletal proteins to a mica film.

In one preferred embodiment, the microtubules are immobilized by coating the surface (e.g., a flow cell) with either n-ethylmaleimide (NEM)-treated Xenopus egg extract (6 mg/ml protein treated with 10 mM NEM for 10 minutes followed by addition of 100 mM dithiothreitol, a treatment that inactivates severing activity) or Escherichia coli-expressed KAR3 protein (which binds microtubules in a nucleotide-independent manner). After washing out unbound protein, the stabilized microtubules (e.g., 100 :g/ml µg/ml in BR80, 20 :M µM taxol) are perfused onto the surface and allowed to bind. After washing out unbound microtubules samples to be tested can be contacted to the surface (e.g., perfused into a flow cell).

# E) High-throughput screening of candidate agents that modulate microtubule depolymerizing or severing proteins.

Conventionally, new chemical entities with useful properties are generated by identifying a chemical compound (called a "lead compound") with some desirable property or activity, creating variants of the lead compound, and evaluating the property and activity of those variant compounds. However, the current trend is to shorten the time scale for all aspects of drug discovery. Because of the ability to test large numbers quickly and efficiently, high throughput screening (HTS) methods are replacing conventional lead compound identification methods.

In one preferred embodiment, high throughput screening methods involve providing a library containing a large number of potential therapeutic compounds (candidate compounds). Such "combinatorial chemical libraries" are then screened in one or more assays, as described herein, to identify those library members (particular chemical species or subclasses) that display a desired characteristic activity. The compounds thus identified can serve as conventional "lead compounds" or can themselves be used as potential or actual therapeutics.

### i) Combinatorial chemical libraries

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Recently, attention has focused on the use of combinatorial chemical libraries to assist in the generation of new chemical compound leads. A combinatorial chemical library is a collection of diverse chemical compounds generated by either chemical synthesis or biological synthesis by combining a number of chemical "building blocks" such as reagents. For example, a linear combinatorial chemical library such as a polypeptide library is formed by combining a set of chemical building blocks called amino acids in every possible way for a given compound length (*i.e.*, the number of amino acids in a polypeptide compound). Millions of chemical compounds can be synthesized through such combinatorial mixing of chemical building blocks. For example, one commentator has observed at the systematic, combinatorial mixing of 100 interchangeable chemical building blocks results in the theoretical synthesis of 100 million tetrameric compounds or 10 billion pentameric compounds (Gallop *et al.* (1994) 37(9): 1233-1250).

Preparation and screening of combinatorial chemical libraries is well known to those of skill in the art. Such combinatorial chemical libraries include, but are not limited to, peptide libraries (see, See, e.g., U.S. Patent 5,010,175, Furka (1991) Int. J Pept. Prot. Res., 37: 487-493; and Houghton et al. (1991) Nature, 354: 84-88). Peptide synthesis is by no means the only approach envisioned and intended for use with the present invention. Other chemistries for generating chemical diversity libraries can also be used. Such chemistries include, but are not limited to: peptoids (PCT Publication No WO 91/19735, 26 Dec. 1991), encoded peptides (PCT Publication WO 93/20242,

14 Oct. 1993), random bio-oligomers (PCT Publication WO 92/00091, 9 Jan. 1992), benzodiazepines (U.S. Pat. No. 5,288,514), diversomers such as hydantoins, benzodiazepines and dipeptides (Hobbs et al., (1993) Proc. Nat. Acad Sci. USA 90: 6909-6913), vinylogous polypeptides (Hagihara et al. (1992) J Amer. Chem. Soc. 114: 6568), nonpeptidal peptidomimetics with a Beta- D- Glucose scaffolding (Hirschmann et al., (1992) J. Amer. Chem. Soc. 114: 9217-9218), analogous organic syntheses of small compound libraries (Chen et al. (1994) J. Amer. Chem. Soc. 116: 2661), oligocarbamates (Cho, et al., (1993) Science 261:1303), and/or peptidyl phosphonates (Campbell et al., (1994) J. Org. Chem. 59: 658); See, generally, Gordon et al., (1994) J. Med Chem. 37:1385), nucleic acid libraries (see, See, e.g., Strategene, Corp.), peptide nucleic acid libraries (see, See, e.g., U.S. Patent 5,539,083) antibody libraries (see, See, e.g., Vaughn et al. (1996) Nature Biotechnology, 14(3): 309-314), and PCT/US96/10287), carbohydrate libraries (see, See, e.g., Liang et al. (1996) Science. 274: 1520-1522, and U.S. Patent 5,593,853), and small organic molecule libraries (see, See, e.g., benzodiazepines, Baum (1993) C&EN, Jan 18, page 33; isoprenoids U.S. Patent 5,569,588; thiazolidinones and metathiazanones, U.S. Patent 5,549,974; pyrrolidines, U.S. Patents 5,525,735 and 5,519,134; morpholino compounds, U.S. Patent 5,506,337; benzodiazepines, 5,288,514; and the like).

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Devices for the preparation of combinatorial libraries are commercially available (*see, See, e.g.,* 357 NIPS, 390 NTS, Advanced Chem Tech, Louisville KY, Symphony, Rainin, Woburn, MA, 433A Applied Biosystems, Foster City, CA, 9050 Plus, Millipore, Bedford, MA).

A number of well known robotic systems have also been developed for solution phase chemistries. These systems include automated workstations like the automated synthesis apparatus developed by Takeda Chemical Industries, LTD. Osaka, Japan) and many robotic systems utilizing robotic arms (Zymate II, Zymark Corporation, Hopkinton,

Mass.; Orca, Hewlett-Packard, Palo Alto, Calif) which mimic the manual synthetic

operations performed by a chemist. Any of the above devices are suitable for use with the present invention. The nature and implementation of modifications to these devices (if any) so that they can operate as discussed herein will be apparent to persons skilled in the relevant art. In addition, numerous combinatorial libraries are themselves commercially available (*See, e.g.,* ComGenex, Princeton, N.J., Asinex, Moscow, Ru, Tripos, Inc., St. Louis, MO, ChemStar, Ltd, Moscow, RU, 3D Pharmaceuticals, Exton, PA, Martek Biosciences, Columbia, MD, etc.).

## ii) High throughput assays of chemical libraries

Any of the assays for compounds modulating the activity of microtubule depolymerizing or severing proteins (or other agents) described herein are amenable to high throughput screening. As described above, in a preferred embodiment, the assays screen for agents that enhance or inhibit the activity of katanin, XKCM1, or OMP19

OP18. Preferred assays detect the rate or amount of depolymerization of microtubules into tubulin monomers, tubulin dimers, or tubulin oligomers.

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High throughput implementation of the assays described herein can be implemented with, at most, routine modification of the assays format, (e.g., for compatibility with robotic manipulators, large plate readers, and the like). Various high throughput screening systems (e.g., for protein binding, nucleic acid binding, etc.) are described in U.S. Patents 5,559,410, 5,585,639, 5,576,220, and 5,541,061.

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In addition, high throughput screening systems are commercially available (see, See, e.g., Zymark Corp., Hopkinton, MA; Air Technical Industries, Mentor, OH; Beckman Instruments, Inc. Fullerton, CA; Precision Systems, Inc., Natick, MA, etc.). These systems typically automate entire procedures including all sample and reagent pipetting, liquid dispensing, timed incubations, and final readings of the microplate in detector(s) appropriate for the assay. These configurable systems provide high throughput and rapid start up as well as a high degree of flexibility and customization. The manufacturers of such systems provide detailed protocols the various high throughput. Thus, for example, Zymark Corp. provides technical bulletins describing

screening systems for detecting the modulation of gene transcription, ligand binding, and the like.

# III) Assay Components.

#### A) Polymerized microtubules

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As indicated above, the assays of this invention utilize polymerized microtubules, which, in the presence of a depolymerizing protein or severing protein are depolymerized or cleaved to produce tubulin monomers or oligomers. When the depolymerizing or severing proteins are inhibited, the formation of tubulin monomers or oligomers is inhibited.

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Virtually any microtubules can be used for the assays of this invention. Means of obtaining such microtubules are well known to those of skill in the art. Tubulin is available commercially or can be isolated from a wide variety of sources; (e.g., plants, animal tissues, oocytes, etc.) For example, tubulin can be isolated from Arabidopsis cells in stationary phase (day 10 to 11) eultural cultured cells (200 to 500 gm fresh weight) by DEAE-Sephadex A50 chromatography as described by Morejohn et al. (1985). Cell Biol. Int. Rep. 9(9): 849-857 with modifications described in Bokros et al. (1993), Biochemistry 32(13): 3437-3447. Briefly, Arabidopsis cells are homogenized in an isolation buffer (IB) consisting of 50 mM PIPES-KOH, pH 6.9, 1 mM EGTA, 0.5 mM MgS04, 1 mM DTT and 0.1 mM GTP, supplemented with 50 mg/mL Na-p-tosyl-L-arginine methyl ester (TAME), and 5 mg/mL each of pepstatin A, leupeptin hemisulfate, and aprotinin. The cell homogenate is subjected to DEAE-Sephadex A50 chromatography for tubulin isolation. Ammonium sulfate precipitates of DEAE-isolated tubulin can be aliquoted and stored at -80°C until use.

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Microtubules can be purified to homogeneity by a single taxol-induced microtubule polymerization step in IB supplemented with 1 mM GTP as described previously (Bokros *et al.* (1993), *Biochemistry* 32(13): 3437-47). Briefly, samples of DEAE-isolated tubulin are thawed and resuspended in IB supplemented with 1 mM

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DTT and 1 mM GTP, and clarified by centrifugation for 1 hr at 100,000x g (2°C) in a Beckman TL-100 ultracentrifuge (TLA-100 rotor). Clarified tubulin is polymerized with a twofold molar excess of taxol in a microtubule assembly buffer composed of IB, 1 mM DTT, 1 mM GTP and 1% DMSO. Assembly of microtubules was performed by gradual temperature ramping from 2°C to 25°C over a 2-hour period. Polymer is collected by centrifugation for 45 min at 30,000x g at 25°C through a cushion of 20% (w/v) sucrose in assembly buffer.

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In a preferred embodiment, the tubulin/microtubules are isolated from an animal tissue (e.g., brain tissue) according to the methods of Hyman et al. (1991) Meth Enzy., 196: 478-485. The brain tubulin can be modified with tetramethylrhodamine or fluorescein N-hydroxysuccinimide ester (Molecular (Molecular Probes, Inc., Eugene, OR) as described by Hyman et al. (1991) supra.

Most microtubules are in a state of flux, undergoing assembly and disassembly. In a preferred embodiment of the assays of this invention microtubules are utilized that are stabilized as essentially intact microtubules. This can be accomplished by using microtubule4s microtubules that are naturally stable (e.g., axonemal microtubules) or by treating the microtubules so that they are stabilized. Methods of stabilizing microtubules are well known to those of skill in the art and include, but are not limited to the use of stabilizing agents such as paclitaxel and paclitaxel derivatives (e.g., taxotere), non-hydrolyzable nucleotide (e.g., GTP) analogues (e.g., guanylyl- $(\alpha,\beta)$ -methylene diphosphate (GMPCPP)), and the like.

In a preferred embodiment, taxol-stabilized microtubules, are prepared by polymerizing tubulin (2-10 mg/ml) at 37°C for 45 minutes in BRB80 (80– (80 mM PIPES [pH 6.8], 1 mM MgCl<sub>2</sub>, 1 mM EGTA) containing 1 mM GTP and 10-%] 10% dimethyl sulfoxide (DMSO). Taxol is then added to a concentration of 20 :M. µM.

GMPCPP-stabilized microtubules are prepared by incubating tubulin in the above, buffer, substituting 0.5 mM GMPCPP for GTP. The GMPCPP microtubules can be stored at room temperature without taxol and are preferably used within 1 day after preparation.

## B) Assay reaction mixture.

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The assays of this invention are performed in a reaction mixture that provides the components necessary for microtubule depolymerizing or microtubule severing activity of the subject protein (e.g., katanin, XKCM1, OP18 etc.) and that are compatible with the enzymatic activity of the subject proteins. Typically the reaction mixture comprises an appropriate buffer (e.g., HEPES, pH 6.5-8.0) and an energy supplying molecule such as guanosine triphosphate (GTP) for microtubule depolymerizing proteins or adenosine triphosphate (ATP) for severing molecules such as katanin. One preferred assay, mixture is described in Example 1.

## C) Microtubule depolymerizing and microtubule severing agents.

As indicated above, the assays of this invention essentially detect the activity of a test agent on a microtubule depolymerizing or microtubule severing polypeptide. Microtubule depolymerizing polypeptides such as OP18 (Belmont *et al.* (1990) *Cell*, 62: 579-589) and XKCM1 (Walczak *et al.* (1996) *Cell*, 83: 37-47) increase the frequency of catastrophes (transitions of a microtubule from a growing to a shrinking state) and thus promote disassembly of microtubules from their ends.

In contrast to microtubule depolymerizing proteins, other proteins, such as katanin, promote the disassembly of microtubules by generating internal breaks within a microtubule and are referred to as microtubule severing proteins (see, (See, e.g., Vale (1991) Cell 64: 827-839; Shiina et al. (1994) Science 266: 282-285; Shiina et al. (1992) EMBO J. 11: 4723-4731; and McNally and Vale (1993) Cell, 75: 419-429).

Preferred microtubule depolymerizing proteins for the methods of this invention include, but are not limited to XKCM1, and OP18, while preferred microtubule severing proteins include katanin.

## <u>i) Katanin.</u>

Katanin, a heterodimer of 60 kDa and 80 kDa subunits purified from sea urchin eggs, is unique among the known microtubule and actin severing proteins in that it disrupts contacts within the polymer lattice by using energy derived from ATP hydrolysis (McNally and Vale (1993) *Cell*, 75: 419-429). Katanin acts substoichiometrically, as one molecule of katanin can release several tubulin dimers from a microtubule. Katanin does not appear to proteolyze or modify tubulin, since the tubulin released from the disassembly reaction is capable of repolymerizing (McNally and Vale (1993) *Cell*, 75: 419-429). The mechanism of microtubule severing by katanin, however, is not understood.

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Katanin-catalyzed microtubule severing and disassembly could potentially be involved in several changes in the microtubule cytoskeleton observed in vivo. Recent studies have-shown that katanin is concentrated at the centrosome in a microtubule-dependent manner in sea urchin embryos (McNally et al. (1996) J Cell Sci. 109: 561-567). One phenomenon that could require disassembly of microtubules at the centrosome is the poleward flux of tubulin in the mitotic spindle (Mitchison (1989) J Cell Biol. 109: 637-652). The disassembly of microtubule minus ends at the spindle pole during poleward flux could be driven by katanin, or katanin could simply allow depolymerization by uncapping microtubule minus ends that are docked onto γ-tubulin ring complexes (Zheng et al. (1995) Nature 378: 578-583; Moritz et al. (1995) Nature 378: 638-640). Another possible role for katanin at the centrosome is in promoting the release of microtubules from their centrosomal attachment points. Microtubules are nucleated from  $\gamma$ -tubulin ring complexes at the centrosome (Joshi et al., (1992); and Moritz et al. (1995) Nature 378: 63 8-640), but release of microtubule minus ends has been observed indirectly in Dictyostelium (Kitanishi-Yumura et al. (1987) Cell Motil. Cytoskeleton 8: 106-117) and directly in PtK1 cells (Keating (1997) Proc. Natl. Acad. Sci. USA, 94:5078-5083) and Xenopus egg extracts (Belmont et al. (1990) Cell 62: 579-589). Finally, katanin could accelerate the rapid disassembly of the interphase microtubule network at the G2/M transition (Zhai et al. (1996) J. Cell Biol. 135: 201-214) by severing cytoplasmic microtubules, which would increase the number of

free microtubule ends from which depolymerization could occur. Regardless of the particular mode of activity, modulation of katanin activity will have profound effects on the cell cycle.

The amino acid and nucleic acid sequences of the P60 p60 and P80 p80 subunits of katanin are provided in Figures 1-and (see 1A and 2A (See also SEQ ID NO: 1 NO:1 and SEQ ID NO: 2). NO:2). It was a discovery of this invention that the microtubule severing activity resides entirely in the P60 p60 subunit. Thus the assays of this invention can be practiced either with the heterodimeric katanin or with a P60 p60 subunit alone.

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The P60 p60 and/or P80 p80 subunits of katanin can be purified (e.g., from sea urchin eggs, e.g., eggs from Strongylocentrotus purpuratus) as described by McNally and Vale (1993) Cell, 75: 419-429. Alternatively, either or both subunits can be recombinantly expressed and purified as described below and in Example 1.

### ii) XKCM1

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XKCM1 (for *Xenopus* kinesin central motor 1) is a motor protein essential for mitotic spindle assembly *in vitro*. XKCM1 localizes to centromeres and appears to regulate the polymerization dynamics of microtubules. The isolation of an XKCM1 clone is described by Walczak *et al.* (1996) *Cell*, 84: 37-47, and a nucleic acid sequence of an XKCM1 cDNA is provided therein and in SEQ ID NO:-3). NO:3. Using this sequence information, XKCM1 can be expressed as described below and by Walczak *et al.* (1996) *supra*.

# iii) OP18

Another microtubule depolymerizing motor protein suitable for use in the methods of this invention is OP18, also called stathmin or stathmin/op18. OP18 is described in detail by Gradin *et al.* (1998) *J. Cell Biol.*, 140(l):131-141, by Andersen *et al.* (1997) *Nature*, 389(6651):640-643, by Larsson *et al.* (1997) *Mol. Cell. Biol.*, 17(9):5530-5539, and by Belmont *et al.* (1996) *Cell*, 84(4):623-631.

## iv) Other microtubule severing or depolymerizing proteins

Other microtubule depolymerizing or severing proteins include, but are not limited to elongation factor-1 $\alpha$  (Shiina *et al.* (1994) *Science* 266: 282-285) and a novel homo-oligomeric protein described by Shiina *et al.* (1992) *EMBO J.* 11: 4723-4731.

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Other microtubule depolymerizing or severing proteins can be identified with only routine experimentation. The assays used to identify microtubule depolymerizing or severing proteins are identical to the assays described herein, the only difference being that no test agent is required. A detailed example of the assay of a microtuble microtubule severing protein (katanin) is provided in McNally and Vale (1993) *Cell*, 75: 419-429. The same approach can readily be used to identify other severing or depolymerizing proteins.

IV) Detection Methods.

Any detection method that allows detection and/or quantification of the amount or rate of appearance of tubulin monomers or oligomers and/or the rate of disappearance of assembled (polymerized) microtubules can be used in the assays of this invention. Preferred detection methods include, but are not limited to video microscopy; DAPI fluorescence changes, fluorescence resonance energy transfer and centrifugation.

### A) Video Microscopy.

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In one embodiment, microtubule depolymerization or severing is detected by microscopy (visually or using a video or photographic recording device). Assays involving microscopic visualization of microtubules preferably utilize labeled (e.g., fluorescently labeled) microtubules. The Microtubules microtubules are preferably immobilized on a solid support (e.g., a glass slide)—, and exposed to a solution containing the microtubule depolymerizing or severing protein and an a nucleoside triphosphate. The intact and depolymerized or severed microtubules can be directly

visualized using a microscope. Microtubule depolymerization in the control and the assay containing the test agent can be visualized side by side or sequentially.

The microscope can optionally be equipped with a still camera or a video camera and may be equipped with image acquisition and analysis software to quantify the relative abundance of intact and fragmented microtubules.

This method can be used with essentially any label that can be visualized in a microscope. Such labels include, but are not limited to fluorescent labels (e.g., fluorescein, rhodamine, etc.), colorimetric labels, and radioactive labels (with appropriate scintillation screen), and the like. In some embodiments of the assays of this invention, the microtubules can be visualized without any label (e.g., via differential interference contrast microscopy).

An illustration of the use of video microscopy to visualize microtubule severing by katanin is provided by Menally et al, McNally and Vale (1993) Cell, 75: 419-429. In this case, the microtubules are labeled with rhodamine and the images of the severed microtubules are captured digitally.

## B) DAPI Fluorescence changes.

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In another embodiment, the state of microtubule polymerization can be determined by changes in fluorescence of DAPI stained microtubules. It has been shown that DAPI fluorescence intensity is higher when this dye is bound to polymerized versus free tubulin (Heusele *et al.* (1987) *Eur. J. Biochem.* 165: 613-620). When katanin and ATP were incubated with DAPI-labeled microtubules, a linear decrease in fluorescence intensity is observed as a function of time, reflecting the conversion of microtubules to tubulin.

Assay can thus be performed as described above with DAPI labeled stabilized microtubules. The rate or amount decrease in DAPI fluorescence is detected as described by Heusele *et al. supra*. The change in fluorescence with a test agent is compared to that observed in a negative and/or positive control reaction.

It was a surprising discovery of this invention that tubulin, tubulin dimers, tubulin oligomers or microtubules can be labeled with various labels such as DAPI and that the label does not interfere with the interaction of various test agents or cytoskeletal associated proteins with the labeled tubulin to a degree that would prevent assayin assaying the impact of a test agent on microtubule polymerization, and/or depolymerization, and/or severing. Labels that can be used include, but are not limited to anilinonapthalene sulfonate (ANS) (e.g., Molecular Probes Catalogue Nos: A-47, A-50, T-53, etc.), bis-ANS (Molecular Probes Catalogue No: B-153), N-phenyl-l-naphthylene (NPN) (Molecular Probes Catalogue No: P65), DCVJ (Molecular Probes Catalogue No: D-3923), ruthenium red, and cresol violet.

## C) Fluorescence resonance energy transfer

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The degree of microtubule polymerization/depolymerization polymerization/depolymerization can also be determined by fluorescent resonance energy transfer (FRET). Fluorescence resonance energy transfer, a phenomenon that occurs when two fluorophores with overlapping absorption and emission spectra are located close together (e.g., < 7 nm) apart) (Stryer (1978) Ann. Rev. Biochem., 47: 819-846). FRET is a powerful technique for measuring protein-protein associations and has been used previously tomeasure to measure the polymerization of monomeric actin into a polymer (Taylor et al. (1981) J. Cell Biol., 89: 362-367) and actin filament disassembly by severing (Yamamoto et al. (1982) J. Cell Biol., 95: 711-719).

In a preferred embodiment, equimolar proportions of differently labeled (e.g., fluorescein labeled and rhoadmine rhodamine-labeled) tubulin are combined. The fluorescence is quenched upon tubulin polymerization indicating that the tubulin-bound fluorochromes in a microtubule come in close enough proximity for energy transfer to occur. When the microtubule is depolymerized or severed, a rapid unquenching of (e.g., fluorescein) fluorescence is observed.

The rates and/or amount of fluorescence generated by a reaction with a test agent and a control can be compared. A decrease in rate or amount of fluorescence in

the presence of a test agent indicates inhibitory activity on the microtubule depolymerizing or severing protein(s).

In particularly preferred embodiment, the microtubules are polymerized from a mixture of equal concentrations of fluorescein and rhodamine tubulin and diluted to 600 :g/ml μg/ml tubulin in BRB80 containing 20 :M μM taxol and the oxygen-depleting system consisting of glucose oxidase (30 :g/ml), (30 μg/ml), catalase (100 mg/ml), glucose (10 mM), and dithiothreitol (10 mM) (Kishino and Yanigida (1988) *Nature* 334: 74-76). Aliquots (150:1) 150 μl of these microtubules can be mixed (e.g., with samples of purified severing protein), and the fluorescence from the fluorescein (excitation 492 nm; emission 518 nm) is recorded. The reaction is preferably run with a positive and negative control. A detailed FRET assay for tubulin polymerization is found in McNally et al. (1993) Cell, 75: 419-429.

### D) Centrifugation.

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microtubule Microtubule disassembly in solution can be documented in a quantitative manner by examining the relative amounts of sedimentable and nonsedimentable tubulin after incubation with the severing or depolymerizing protein (e.g., katanin) and ATP or GTP. In the absence of a modulating agent, when taxol-stabilized microtubules are incubated tithe the katanin p80 and p60 subunits and ATP, nondsedimentable nonsedimentable tubulin is released from microtubule polymer in an approximately linear manner. The rate of release varies with microtubule depolymerizing or severing protein concentration and will be dependent on the activity of a modulating "test" agent if present.

In a preferred embodiment, fluorescent microtubules (e.g., 100-300 :g/ml μg/ml tubulin) are incubated with the microtubule depolymerizing or severing protein in buffer (e.g., 20 mM HEPES (pH 7.5), 2 mM MgCl2 MgCl<sub>2</sub>, 25 mM K-glutamate potassium glutamate, 0.02% Triton X-100, 250:g/ml 250 μg/ml SBTI, and 20 :M μM taxol or taxol derivative)- at various times. Aliquots (e.g., of 100 :1 μl) are brought to 10 mM ADP or GDP (to stop the severing or depolymerizing reaction) and sedimented

(e.g., at 228,000 x g for 10 minutes). Supernatants are removed, and pellets are resuspended in 100 :L μl of buffer. The pellets and supernatants can be brought up to 300 :L e.g. with BRB80 μl (e.g., with BRB80), and the relative fluorescence signals in the supernatant and the pellet are quantitated using a Perkin Elmer L25B luninescence spectrometer. luminescence spectrometer.

## E) Liquid Crystal Assay Systems.

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In still another embodiment, binding of the microtubule depolymerizing or severing proteins to microtubules can be detected by the use of liquid crystals. Alternatively, it is expected that liquid crystals can be used to monitor the state of tubulin polymerization.

Liquid crystals can be used to amplify and transduce receptor-mediated binding of proteins at surfaces into optical outputs. Spontaneously organized surfaces can be designed so that protein molecules, upon binding to ligands (e.g., microtubules) hosted on these surfaces, trigger changes in the orientations of 1- to 20-micrometer-thick films of supported liquid crystals, thus corresponding to a reorientation of ~ 10<sup>5</sup> to 10<sup>6</sup> mesogens per protein. Binding-induced changes in the intensity of light transmitted through the liquid crystal are easily seen with the naked eye and can be further amplified by using surfaces designed so that protein-ligand recognition causes twisted nematic liquid crystals to untwist (see, (See, e.g., Gupta et al. (1998) Science, 279: 2077-2080). This approach to the detection of ligand-receptor binding does not require labeling of the analyte, does not require the use of electroanalytical apparatus, provides a spatial resolution of micrometers, and is sufficiently simple that it is useful in biochemical assays and imaging of spatially resolved chemical libraries.

# D) Synthesis or Expression of microtubule depolymerizing or severing proteins.

### i) De novo chemical synthesis.

Using the information provided, herein, the microtubule depolymerizing or severing proteins, protein subunits, or subsequences thereof may be synthesized using standard chemical peptide synthesis techniques. Where the desired subsequences are relatively short, the molecule may be synthesized as a single contiguous polypeptide. Where larger molecules are desired, subsequences can be synthesized separately (in one or more units) and then fused by condensation of the amino terminus of one molecule with the carboxyl terminus of the other molecule thereby forming a peptide bond.

Solid phase synthesis in which the C-terminal amino acid of the sequence is attached to an insoluble support followed by sequential addition of the remaining amino acids in the sequence is the preferred method for the chemical synthesis of the polypeptides of this invention. Techniques for solid phase synthesis are described by Barany and Merrifield, Solid-Phase Peptide Synthesis; pp. 3-284 in The Peptides:

Analysis, Synthesis, Biology. VOL 2: Special Methods in Peptide Synthesis, Part A.;

Part A.; Merrifield, et al. (1963) J. Am. Chem. Soc., 85: 2149-2156; and Stewart et al. (1984) Solid Phase Peptide Synthesis, 2nd ed. Pierce Chem. Co., Rockford, Ill.

## ii) Recombinant expression.

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In a preferred embodiment, the microtubule depolymerizing or severing proteins, protein subunits, or subsequences, are synthesized using recombinant DNA methodology. Generally this involves creating a DNA sequence that encodes the protein, placing the DNA in an expression cassette under the control of a particular promoter, expressing. the protein in a host, isolating the expressed protein and, if required, renaturing the protein. DNA encoding the microtubule depolymerizing or severing proteins, protein subunits, or subsequences of this invention can be prepared by any suitable method as described above, including, for example, cloning and restriction of appropriate sequences or direct chemical synthesis by methods such as the phosphotriester method of Narang *et al.* (1979) *Meth. Enzymol.* 68: 90-99; the phosphodiester method of Brown *et al.* (1979) *Meth. Enzymol.* 68: 109-151; the

diethylphosphoramidite method of Beaucage et al. (1981) Tetra. Lett., 22: 1859-1862; and the solid support method of U.S. Patent No. 4,458,066.

Chemical synthesis produces a single stranded oligonucleotide. This may be converted into double stranded DNA by hybridization with a complementary sequence, or by polymerization with a DNA polymerase using the single strand as a template. One of skill would recognize that while chemical synthesis of DNA is limited to sequences of about 100 bases, longer sequences may be obtained by the ligation of shorter sequences.

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Alternatively, subsequences may be cloned and the appropriate subsequences cleaved using appropriate restriction enzymes, The fragments may then be ligated to produce the desired DNA sequence.

In one embodiment, the microtubule depolymerizing or severing proteins, of this invention can be cloned using DNA amplification methods such as polymerase chain reaction (PCR). Thus, for example, the nucleic acid sequence or subsequence is PCR amplified, using a sense primer containing one restriction site (e.g., NdeI) (e.g., NdeI) and an antisense primer containing another restriction site (e.g., HindIII) (e.g., HindIII). This will produce a nucleic acid encoding the desired the microtubule depolymerizing or severing protein having terminal restriction sites. This nucleic acid can then be easily ligated into a vector containing a nucleic acid encoding the second molecule and having the appropriate corresponding restriction sites.

Suitable PCR primers can be determined by one of skill in the art using the sequence information provided herein. Appropriate restriction sites can also be added to the nucleic acid encoding the microtubule depolymerizing or severing proteins by site-directed mutagenesis. The plasmid containing the microtubule depolymerizing or severing protein encoding nucleic acid is cleaved with the appropriate restriction endonuclease and then ligated into the vector encoding the second molecule according to standard methods.

The nucleic acid sequences encoding the microtubule depolymerizing or severing proteins may be expressed in a variety of host cells, including *E. coli*, other

bacterial hosts, yeast, and various higher eukaryotic cells such as the COS, CHO and HeLa cells lines and myeloma cell lines. As the microtubule depolymerizing or severing proteins are typically found in eukaryotes, a eukaryote host is preferred. The recombinant protein gene will be operably linked to appropriate expression control sequences for each host. For *E. coli*, this includes a promoter such as the T7, trp, or lambda promoters, a ribosome binding site and preferably a transcription termination signal. For eukaryotic cells, the control sequences will include a promoter and preferably an enhancer derived from immunoglobulin genes, SV40, cytomegalovirus, etc., and a polyadenylation sequence, and may include splice donor and acceptor sequences.

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The plasmids of the invention can be transferred into the chosen host cell by well-known methods such as calcium chloride transformation for *E. coli* and calcium phosphate treatment or electroporation for mammalian cells. Cells transformed by the plasmids can be selected by resistance to antibiotics conferred by genes contained on the plasmids, such as the amp, gpt, neo and hyg genes.

Once expressed, the recombinant the microtubule depolymerizing or severing proteins can be purified according to standard procedures of the art, including ammonium sulfate precipitation, affinity columns, column chromatography, gel electrophoresis and the like (see, (See generally, R. Scopes, (1982) Protein Purification, Springer-Verlag, N.Y.; Deutscher (1990) Methods in Enzymology Vol. 182: Guide to Protein Purification., Academic Press, Inc. N.Y.). Substantially pure compositions of at least about 90 to 95% homogeneity are preferred, and 98 to 99% or more homogeneity are most preferred. Once purified, partially or to homogeneity as desired, the polypeptides may then be used (e.g., as immunogens for antibody production).

One of skill in the art would recognize that after chemical synthesis, biological expression, or purification, the microtubule depolymerizing or severing protein(s) may possess a conformation substantially different than the native conformations of the constituent polypeptides. In this case, it may be necessary to denature and reduce the

polypeptide and then to cause the polypeptide to re-fold into the preferred conformation. Methods of reducing and denaturing proteins and inducing re-folding are well known to those of skill in the art (see, (See, Debinski et al. (1993) J. Biol. Chem., 268: 14065-14070; Kreitman and Pastan (1993) Bioconjug. Chem., 4: 581-585; and Buchner, et al., (1992) Anal. Biochem., 205: 263-270). Debinski et al., for example, describes the denaturation and reduction of inclusion body proteins in guanidine-DTE. The protein is then refolded in a redox buffer containing oxidized glutathione and L-arginine.

One of skill would recognize that modifications can be made to the microtubule depolymerizing or severing proteins without diminishing their biological activity. Some modifications may be made to facilitate the cloning, expression, or incorporation of the targeting molecule into a fusion protein. Such modifications are well known to those of skill in the art and include, for example, a methionine added at the amino terminus to provide an initiation site, or additional amino acids (e.g., polyHis) placed on either terminus to create conveniently located restriction sites or termination codons or purification sequences.

In a particularly preferred embodiment, the katanin protein(s) are expressed as described in Example 1, while XKCM1 is expressed and purified as described by Walczak *et al.* (1996) *supra*.

## 20 V. Data management.

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In one embodiment, the assays of this invention are facilitated by the use of databases to record assay results. Particular with the use of large-scale screening systems, (e.g., screening of combinatorial libraries) data management can become a significant issue. For example, all natural hexapeptides have been synthesized in a single combinatorial experiment producing about 64 million different molecules. Maintenance and management of even a small fraction of the information obtained by screening such a library is aided by methods automated information retrieval, (e.g., a computer database).

Such a database is useful for a variety of functions, including, but not limited to library registration, library or result display, library and/or result specification, documentation, and data retrieval and exploratory data analysis. The registration function of a database provides recordation/registration of combinatorial mixtures and assay results to protect proprietary information in a manner analogous to the registration/protection of tangible proprietary substances. Library and assay result display functions provide an effective means to review and/or categorize relevant assay data. Where the assays utilize complex combinatorial mixtures for test agents, the database is useful for library specification/description. The database also provides documentation of assay results and the ability to rapidly retrieve, correlate (or conduct other statistical analysis), and evaluate assay data.

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Thus, in some preferred embodiments, the assays of this invention additionally involve entering test agent(s) identified as positive (*i.e.*, having an effect on microtubule polymerization, and/or depolymerization, and/or severing) in a database of "positive" compounds and more preferably in a database of therapeutic or bioagricultural lead compounds.

The database can be any medium convenient for recording and retrieving information generated by the assays of this invention. Such databases include, but are not limited to manual recordation and indexing systems (e.g., file-card indexing systems). However, the databases are most useful when the data therein can be easily and rapidly retrieved and manipulated (e.g., sorted, classified, analyzed, and/or otherwise organized). Thus, in a preferred embodiment, the signature the databases of this invention are most preferably "automated", e.g., electronic (e.g. computer based) "automated" (e.g., electronic [e.g., computer-based]) databases. The database can be present on an individual "stand-alone" computer system, or a component of or distributed across multiple "nodes" (processors) on a distributed computer systems. Computer systems for use in storage and manipulation of databases are well known to those of skill in the art and include, but are not limited to "personal computer

systems,", mainframe systems, distributed nodes on an inter- or intra-net, data or databases stored in specialized hardware (e.g., in microchips), and the like.

# VI. Kits for screening for modulators of microtubule depolymerization or microtubule severing agents.

In still another embodiment, this invention provides kits for the practice of any of the methods described herein. The kits comprise one or more containers containing one or more of the assay components described herein. Such components include, but are not limited to stabilized microtubules, microtubule depolymerizing or microtubule severing proteins or protein subunits, one or more test agents, reaction media, solid supports (e.g., microtitre plates) with attached components, buffers, labels, and other reagents as described herein.

The kits may optionally include instructional materials containing directions (i.e., protocols) for carrying out any of the assays described herein. While the instructional materials typically comprise written or printed materials they are not limited to such. Any medium capable of storing such instructions and communicating them to an end user is contemplated by this invention. Such media include, but are not limited to electronic storage media (e.g., magnetic discs, tapes, cartridges, chips), optical media (e.g., CD ROM), and the like. Such media may include addresses to internet sites that provide such instructional materials.

20 EXAMPLES

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The following examples are offered to illustrate, but not to limit the claimed invention.

Example 1: Katanin, a microtubule-severing protein is a novel AAA ATPase that targets to the centrosome using a WD40-containing subunit.

## RESULTS

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To begin dissecting the functional domains of katanin, we isolated cDNA clones for the p60 and p80 subunits from cDNA derived from sea urchin (*Strongylocentrotus purpuratus*) egg mRNA. After first obtaining peptide sequence of several proteolytic fragments from the two sea urchin katanin subunits, cDNA clones were isolated using a combination of degenerate PCR, cDNA library screening, and anchor-ligated PCR (see Experimental Procedures). The predicted amino acid sequences of the cDNA clones contained 139 amino acids (a.a.) and 306 amino acids of peptide sequences obtained by direct microsequencing of p60 and p80 respectively.

## p60 is Novel Member of the AAA ATPase Superfamily

Sequence analysis of the p60 cDNA clone revealed an open reading frame that encodes a 516 a.a. polypeptide (Fig. 1A). A BLAST search with the predicted p60 protein sequence revealed that this polypeptide contains a C-terminal domain (a.a. 231 - 447) that is highly conserved in the AAA ATPase superfamily (Fig. 1B) (Confalonieri *et al.* (1995) *BioEssays* 17: 639-650). This ~220 amino acid region contains the "Walker A" (P-loop) and "Walker B" motifs found in many ATPases (Walker *et al.* (1982) *EMBO J.* 1: 945-95 1). AAA proteins, which contain either one or two of these 220 a.a. ATP-binding modules, constitute a large superfamily whose members have been implicated in a variety of cellular functions (Confalonieri *et al.* (1995) *supra.*).

Of the AAA domains entered into sequence data bases, mei-1, a C. elegans C. elegans protein required for meiosis (Clark-Maguire et al. (1994) Genetics 13 6: 533 -546), is most closely related to p60 (55% a.a. identify, Fig. 1B). Mei-1 was discovered in a genetic screen as a protein that is required for meiotic spindle formation, but disappears during subsequent mitotic divisions. Interestingly, both p60 (McNally et al. (1996) J. Cell Sci. 109: 561-567) and mei-1 (Clark-Maguire et al. (1994) J. Cell Biol. 126: 199-209) are localized to spindle poles in a microtubule-dependent manner. However, the N-terminal half of p60 has no significant

homology to mei-1, suggesting that p60 and mei-1 may not be orthologs. BLAST searches with p60 sequences, however, revealed several human ESTs (expressed sequence tags) that have strong amino acid identity outside of the AAA domain, suggesting the existence of vertebrate homologs of p60.

### p80 Contains WD40 Repeats

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Sequence analysis of the sea urchin p80 cDNA clone revealed a predicted 690 a.a. polypeptide that contains six "WD40" repeat motifs extending from residues 1-256 (Fig. 2A). An alignment of these repeats with two unrelated WD40 repeat-containing proteins is shown in Fig. 2B. The WD40 repeats in several proteins have been documented to participate in protein-protein binding interactions (Komachi *et al.* (1994) *Genes Dev.* 8: 2857-2867; Wall *et al.* (1995) *Cell* 83: 1047-1058). The C-terminal region of p80 (residues 257-690) did not exhibit significant amino acid identity to any previously described protein. However, significant identity of sea urchin p80 was observed with several human EST clones. The sequences of these clones were used to isolate a full length human p80 katanin homolog by PCR (see Experimental Procedures). The human cDNA encodes a predicted 655 a.a. protein with 61% a.a. identity in the WD40 domain (a.a. 1-256) (Fig. 2B), 23% a.a. identity in the central 187 residues, and 54% a.a. identity in the C-terminal 164 a.a. with S. purpuratus S. purpuratus p80 katanin (latter two regions are not shown).

## Baculovirus Expression and Molecular Structure of the Katanin Subunits

Deciphering the roles of the two katanin subunits is essential for understanding the enzyme's mechanism and biological activities. However, separation of the native sea urchin p60/p80 subunits requires denaturing conditions. We therefore sought to express the two subunits together and separately and then test their enzymatic activities. Bacterial expression of p60 produced largely insoluble protein, and the small amount of soluble p60 had no microtubule-stimulated ATPase activity (data not shown). However, using the baculovirus expression system, we obtained soluble p60,

p80, and the p60/p80 complex (each expressed with a N-terminal His<sub>(6)</sub> tag (SEQ ID NO:14), and purified the expressed proteins using metal affinity chromatography (Fig. 3A). When p60 and p80 were co-expressed, the stoichiometry of the two subunits in the purified protein was approximately equal (1.0:0.9 p60:p80 molar ratio, as determined by Coomassie staining). Moreover, immunoprecipitation with an anti-p60 antibody led to co-immunoprecipitation of equal quantities of p60 and p80 (Fig. 3B). These results indicate that baculovirus -expressed p60 and p80 heterodimerize, as observed with native katanin (McNally and Vale (1993) *Cell*, 75: 419-429).

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To examine katanin's structure, baculovirus-expressed p60, p80, or p60/80 was adsorbed onto mica chips, and the chips were subsequently frozen, etched, and rotary shadowed with platinum (Heuser (1989) J. Electron Microsc. Technique 13:, 244-263; Heuser (1983) J. Mol. Biol. 169: 155-195). The platinum-shadowed p60 appeared as a 14-16 nm ring punctuated in the center by a 3-5 nm opening, often with what appears to be cracks radiating outward (Figure 4A), p80, on the other hand, appeared as ~11 nm particles and occasional unstructured protein aggregates; rings were not observed (Fig. 4B). Rings were also seen for p60/p80 complexes (Fig. 4C, 4D) and native sea urchin katanin (data not shown). Interestingly, two types of p60/p80 complexes were visible: large ~20 nm diameter rings with bright edges, which is suggestive of taller complexes that extend upward from the mica (Fig. 4D), and smaller rings of the size of p60 alone with several p80 sized particles radiating from the central ring (Fig. 4C). The large and small rings might represent closed and "splayed" versions of the p60/p80 complex, respectively, which could be produced if the complex dissociates upon mica adsorption. Both p60 and p60/p80 structures resemble the rings observed for the AAA ATPases NSF and p97, whose dimensions are 15-17 nm (Hanson et al. (1997) Cell 90: 523-535).

## p60 Katanin has Microtubule-Stimulated ATPase and Severing Activity

With the availability of isolated p60 and p80, we then examined whether the individual subunits have ATPase activity. The co-expressed p60/p80 heterodimer displayed an ATP turnover rate of 0.3 ATP/sec/heterodimer; this activity was

stimulated ~10-fold by microtubules (Fig. 5A). This basal activity and the fold stimulation by microtubules are similar to that observed for native sea urchin katanin (data not shown). Consistent with the finding of an AAA domain in its sequence, p60 alone displayed a microtubule-stimulated ATPase activity. Surprisingly, the maximal basal and microtubule-stimulated ATPase rates of p60 were only 2-fold lower than those of the p60/p80 heterodimer (Fig. 5A). p80 itself had no detectable ATPase activity. The activation of ATPase activity by microtubules displayed an atypical, non-hyperbolic behavior. ATP turnover by p60 and p60/p80 was stimulated at low concentrations of microtubules (peak at ~2 µM tubulin), but then decreased at higher microtubule concentrations (Fig. 5A). This same complex pattern of microtubule stimulation was also observed for native sea urchin katanin (data not shown).

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We then tested the microtubule severing activity of p60, p80, and p60/p80 using a fluorescence microscopy assay (McNally and Vale (1993) *Cell*, 75: 419-429). Both p60 and p60/p80 severed microtubules in this assay (Fig. 5B). Broken microtubules were observed within 1 min after introducing 0.1 µM p60 or p60/p80, and microtubules were completely disassembled after 5 min. The reaction appeared somewhat slower with p60 alone. Microtubules remained intact if ATP was omitted from the reaction (not shown). In contrast, p80 was unable to sever microtubules at concentrations 5-fold higher than those used for p60 (Fig. 5B). These experiments demonstrate that p60 alone can carry out all of the steps necessary for coupling ATP hydrolysis to microtubule disassembly.

To better compare the microtubule severing activities of p60 and p60/p80, we developed a quantitative microtubule disassembly assay based upon a previous finding that DAPI fluorescence intensity is higher when this dye is bound to polymerized versus free tubulin (Heusele *et al.* (1987) *Eur. J Biochem.* 165: 613-620). When katanin and ATP were incubated with DAPI-labeled microtubules, a linear decrease in fluorescence intensity was observed as a function of time, reflecting the conversion of microtubules to tubulin (Fig. 5C. The loss of microtubule polymer was confirmed by centrifugation studies, which showed an increase in non-sedimentable tubulin with a similar time course (data not shown). The fluorescence decrease induced by these

enzymes reached a steady-state level that was slightly higher than pure, monomeric tubulin, suggesting that some tubulin oligomer may exist at steady state. The rate of fluorescence decrease was proportional to p60 or p60/p80 concentration over a 10-fold range (data not shown). When the rates of microtubule disassembly were compared, p60 was half as active as p60/p80 (Fig. 5C). This slower rate of microtubule disassembly is consistent with the previously described 2-fold decrease in ATPase activity of p60 compared with p60/p80.

## The p80 WD40 Domain Targets to the Centrosome

The finding that p60 by itself can sever microtubules left open the question of the function of the p80 katanin subunit. At least two functional domains of p80 could be postulated. First, since katanin is a heterodimer (McNally and Vale (1993) *Cell*, 75: 419-429), some part of p80 must be involved in heterodimerization with p60. Second, because previous studies have shown that katanin is concentrated at centrosomes in vivo (McNally *et al.* (1996) J *Cell Sci.* 109: 561-567), p80 could contain a domain that interacts with a centrosomal protein to allow targeting of the katanin holoenzyme. Because WD40 repeats have been implicated in heterophilic protein-protein interactions (Komachi *et al.* (1994) *Genes Dev.* 8: 2857-2867; and Wall *et al.* (1995) *Cell* 833: 10471058), the six WD40 repeats in p80 represented a good candidate domain for participating in either dimerization or centrosome targeting.

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In order to test whether the WD40 repeats of p80 are required for heterodimerization with p60, we deleted the entire WD40 domain and examined whether the truncated p80 (p80 $\Delta$ 1-302) interacted with p60 when the two polypeptides were co-translated in a rabbit reticulocyte system. The truncated p80 (p80 $\Delta$ 1-302) was co-immunoprecipitated by the anti-p60 antibody only in the presence of p60 and just as efficiently as full length p80 (Fig. 6). This finding indicates that the WD40 repeats are not required for dimerizing the two katanin subunits. Nevertheless, it remained possible that the WD40 domain was one of multiple, redundant p60-interacting domains. However, a C-terminal truncation of p80 (p80 $\Delta$ 3 03-690) containing only the WD40 domain did not coimmunoprecipitate with p60 (Fig. 6). These results indicate

that the p80 WD40 repeats are neither necessary nor sufficient for dimerization with p60. To determine which region of p80 is required for interaction with p60, a p80 deletion lacking the C-terminal 130 amino acids (p80 $\Delta$ 560-690) was constructed and was found not to co-immunoprecipitate with p60 (Fig. 6). These findings suggest that the C-terminal 130 amino acids of p80, but not the WD40 repeat domain, are involved in the dimerization with p60.

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To examine whether the p80 WD40 repeats bind to a protein in the centrosome, we tested whether these repeats can target a heterologous protein (green fluorescent protein, GFP) to the centrosome after transfection in the human fibroblast cell line, MSU1.1 (Lin et al. (1995) Int. J. Cancer 63: 140-147). The WD40 domain of human p80 katanin was used, because it was more likely that the human protein would interact with centrosomal proteins in this human cell line. Immunofluorescence of MSU1.1 cells with an antibody specific for human p80 katanin (see Experimental Procedures) showed labeling of the cytoplasm and more concentrated staining at one or two spots that co-localized with y-tubulin staining (Fig. 7), confirming that endogenous katanin is concentrated at centrosomes in fibroblasts as it is in sea urchin embryos (McNally et al. (1996) J. Cell Sci. 109: 561-567). In contrast to the localization in sea urchin embryos, the concentration of p80 at centrosomes in fibroblasts remained after complete depolymerization of microtubules with nocodazole (data not shown), suggesting that katanin is bound to the pericentriolar material. When a fusion protein consisting of the six WD40 repeats of human p80 katanin (a.a. 1-263) appended to the N-terminus of green fluorescent protein (GFP) was expressed in MSU1.1 cells, one or two foci of green fluorescence that co-localized with γ-tubulin staining was observed 2-4 hr after transfection in addition to diffuse cytoplasmic fluorescence (Fig. 7). Identical results were obtained in transfections of Hela HeLa cells (not shown). In contrast to these findings, cells transfected with GFP alone never revealed foci of green fluorescence at centrosomes (not shown). After longer periods of expression (8-24 hr) of p80 WD40-GFP, numerous heterogeneously-sized bright foci of green fluorescence appeared that did not co-localize with  $\gamma$ -tubulin, and later, massive aggregates several —m µm in diameter were observed (not shown). These

results indicate that the WD40 repeats of human p80 katanin are sufficient to target GFP to the centrosome and suggest that once the centrosome binding sites are saturated, the additional fusion protein aggregates in the cytoplasm.

## **DISCUSSION**

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Katanin is a unique enzyme that couples ATP hydrolysis to the dissociation of tubulin subunits from the microtubule lattice (McNally and Vale (1993) *Cell*, 75: 419-429). Other than the motor proteins kinesin and dynein, katanin is the only known microtubule-associated ATPase. In this study, we have determined the primary structure of the p60 and p80 katanin subunits and examined the roles of the two subunits in microtubule severing and the cellular localization of the enzyme.

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## Mechanism of Katanin-Mediated Microtubule Severing

Sequence analysis of p60 katanin revealed that it is a novel member of the AAA family of ATPases. This finding suggested that p60 might be responsible for the previously reported ATPase activity of the native katanin dimer (McNally and Vale (1993) Cell, 75: 419-429). However, neither p60 nor p80 contained an identifiable microtubule binding sequence, such as those found in tau (Butner and Kirschner (1991) J. Cell Biol., 115: 717-730) or MAPIB (Noble et al. (1989) J. Cell Blol. 109: 3367-3376), and therefore it was not possible to ascribe the microtubule binding and severing activities of katanin to either subunit based upon sequence information alone. By measuring the activities of the p60 and p80 subunits purified individually and together as a dimer, we have found that katanin's p60 subunit exhibits both microtubule-stimulated ATPase activity and microtubule-severing activity in the absence of the p80 subunit. Since p60 has all elements required for functional interactions with microtubules, future structure-function studies on the mechanism of microtubule severing can be focused on this single subunit. Furthermore, we have found that p60 katanin can form rings, the dimensions and appearance of which are similar to those reported for the AAA proteins NSF and p97 (Hanson et al. (1997)

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Cell 90: 523-535). The comparison of p60 with other AAA proteins provides clues as to how katanin disassembles microtubules, as discussed below.

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The ATPase properties of katanin show both similarities and differences with other AAA family members. Katanin's basal ATPase activity of 0.3 ATP/katanin/sec and maximal microtubule-stimulated rate of 3 ATP/katanin/sec are comparable to values of 1 ATP/sec for p97 (Peters *et al.* (1992) *J. Mol. Biol.*, 223: 557-571) and 0.08 ATP/sec for recombinant NSF (Morgan *et al.* (1994) *J. Biol. Chem.* 269: 29347-29350). NSF ATPase is also stimulated two-fold upon binding to its target protein, α- or γ-SNAP (Morgan *et al.* (1994) *J. Biol. Chem.* 269: 29347-293 50). However, katanin's ATPase activity displays a complex stimulation by microtubules. At low microtubule concentrations (<2 μM), ATPase activity increases with increasing microtubule concentration, but at higher microtubule concentrations, ATPase activity decreases until it eventually approaches basal levels. In contrast, stimulation of kinesin ATPase by microtubules (Gilbert *et al.* (1993) *Biochemistry* 32: 4677-4684) displays typical hyperbolic curves that reach saturation.

At least two potential explanations could account for the unusual ATPase behavior of katanin. One possibility is that katanin binds microtubules at two sites, which could elevate the local microtubule concentration by crosslinking and thereby stimulate katanin's ATPase activity. At higher microtubule concentrations, however, the ratio of katanin to microtubules would be lower, resulting in a less-crosslinked network and less stimulation of ATPase activity. In support of this idea, bundling of microtubules by katanin has been observed by microscopy (unpublished observations). This behavior has been seen in another cytoskeletal-polymer stimulated ATPase, Acanthamoeba Acanthamoeba myosin 1, which has two discrete actin binding sites: a low affinity eatalyie catalytic site and a higher affinity site not involved in catalysis (Lynch et al. (1986) J. Biol. Chem. 261: 17156-17162).

A second explanation for katanin's complex enzymatic behavior could involve katanin oligomerization into rings. Rotary-shadowing EM images show oligomeric ring structures in katanin preparations; however hydrodynamic experiments with both native (McNally and Vale (1993) *Cell*, 75: 419-429) and recombinant katanin (data not shown) suggest that the majority of the protein is monomeric. One hypothesis is that microtubules promote p60-p60 oligomerization, and that the assembly of p60 monomers into a higher order structure on the microtubule stimulates ATPase activity. According to this idea, low microtubule concentrations would facilitate multimerization, since p60 monomers would be more likely to bind near one another on the microtubule. High microtubule concentrations, on the other hand, would inhibit p60 assembly by sequestering p60 monomers at noncontiguous sites on the lattice. Self assembly into rings also has been suggested as the cause of dynamin's biphasic stimulation of GTPase activity (Tuma and Collins (1994) *J. Biol. Chem.* 269: 30842-30847; and Warnock *et al.* (1996) *J. Biol. Chem.* 271: 22310-22314). Cryoelectron microscopy studies of the p60-microtubule complex provide a means of testing this hypothesis.

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Based upon studies of other AAA family members, katanin oligomers/rings may prove to be important in the severing mechanism. Although serving diverse functions, many AAA proteins appear to share a common function as nucleotide-dependent molecular chaperones that disassemble protein complexes (Confalonieri and Duguet (1995) supra.). The best studied AAA member is NSF, which binds to and induces the disassembly of ternary SNARE complexes after hydrolysis of ATP (Hanson et al. (1995) J. Biol. Chem. 270: 1695 5-16961; and Hayashi et al. (1995) EMBO J 14: 2317-2325). This reaction plays a role either in vesicle fusion and/or recycling of components in membrane trafficking pathways. Recently, electron microscopy studies have revealed that the NSF ring structure adopts extended and compact conformations in the ATP-y-S and ADP states, respectively (Hanson et al, (1997) Cell 90: 523-535). If attached at several points to a protein complex, this transition could break apart bonds in the SNARE complex (Hanson et al., (1997) supra.). Katanin may work in an analogous fashion. A ring of katanin's dimensions could potentially contact multiple tubulin sites on a microtubule, and a structural change during ATP hydrolysis could shift the positions of tubulin binding

sites with respect to one another, which would disrupt the microtubule lattice. Another possibility is that katanin acts more like an ATP-regulated version of actin severing proteins, which are thought to compete for sites at protein-protein interfaces within the polymer. In this type of mechanism, the AAA domain could serve as an ATP-dependent protein clamp that binds tightly to and disrupts tubulin-tubulin interfaces during particular steps in the ATPase cycle.

### Targeting of Katanin to Centrosomes

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Our studies show that p80 does not constitute an essential element of katanin's enzymatic mechanism. The finding that p80 is not required for microtubule-severing activity was somewhat surprising, because all of the p60 immunoprecipitates with p80 from sea urchin cytosol (unpublished observations). However, experiments reported here have uncovered a role for p80 in targeting katanin to centrosomes in vivo in vivo. This conclusion is based upon the finding that the WD40 domain of p80 can target GFP to the centrosome in cultured human cell lines. Because the WD40 domain cannot dimerize with endogenous p60, the centrosomal localization must be due to direct interaction of the WD40 domain with one or more resident centrosomal proteins. WD40 domains are thought to form a conserved beta propeller structure, as first determined for the beta subunits of transducin and G<sub>i</sub> (Wall et al. (1995) Cell 83: 1047-1058; Sondek et al. (1996) Nature 379: 369-374). However, each WD40 domain exhibits very specific heterophilic protein interactions; exposed residues in the beta subunit of G<sub>i</sub> interact with the alpha subunit (Wall et al. (1995) supra.), whereas the corresponding residues in the WD40 transcription factor TUP1 mediate binding to a second transcription factor  $\alpha 2$  (Komachi, and Johnson (1997) Mol. Cell Biol. 17: 6023-6028). Since the G protein beta subunits interact with multiple partner proteins (Wall et al. (1995) Cell 83: 1047-1058; and Gaudet et al. (1996) Cell, 87: 577-588), it is also possible that the p80 katanin WD40 domain can interact with more than one protein in vivo in vivo. p80 is the only known centrosomal protein with a WD40 motif. The findings that katanin has an entire subunit devoted to centrosome

localization and that this subunit is conserved between mammals and echinoderms suggest an important role for katanin at the centrosome.

The WD40 domain of p80 katanin represents the first example of a structural motif that targets a protein to the centrosome in mammals, although a centrosome-targeting domain has been defined for the *Drosophila* protein CP190 (Oegema *et al.* (1995) *J. Cell Biol.* 131: 1261-1273). This provides an opportunity to identify the centrosomal component(s) responsible for anchoring katanin. Further information on the docking of katanin to the centrosome may provide clues regarding katanin's role in microtubule disassembly at this organelle.

### **Experimental Procedures**

## **Peptide Microsequencing**

Katanin was purified from extracts of S. purpuratus S. purpuratus eggs essentially as described previously (McNally and Vale (1993) Cell, 75: 419-429), except that the hydroxyapatite chromatography was carried out using a Pharmacia HRI. 0/3 0 column packed with 20 μm, ceramic hydroxyapatite beads (American International Chemical, Natick, MA). Internal peptide sequences of the p60 and p80 subunits were obtained from native sea urchin katanin as described (Iwamatsu (1992) Electrophoresis 13: 142-147). Two additional p80 peptides were also obtained: DASMMAM (SEQ ID NO:15) and IQGLR (SEQ ID NO:16).

# 20 **p60 Cloning**

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A cDNA encoding a 400 bp fragment of the p60 subunit (corresponding to a.a. 214374) was cloned from *S. purpuratus* first strand cDNA using nested PCR with degenerate oligonucleotides. This fragment was then used to screen a lambda ZAP-Express cDNA library made from *S. purpuratus* unfertilized egg mRNA by hybridization. Several independent positive clones were isolated. One clone was completely sequenced (GENBANK accession number #AF052191).

## p80 Cloning

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An initial partial cDNA clone of p80 katanin was obtained by screening an S. purpuratus unfertilized egg cDNA library (Wright et al. (1991) J. Cell Biol. 113: 817-833) with an antibody specific for p80 katanin, anti-p81<sup>aff</sup> (McNally et al. (1996) J. Cell Sci. 109: 561-567). The insert of the initial clone was used to isolate a longer cDNA clone (pFM18) from the same library by plaque hybridization. A cDNA clone encoding the 5' end of p80 katanin (pFM23) was obtained by anchor-ligated PCR (Apte and Siebert (1993) Biotechniques 15: 890-893) using primers derived from pFM18 sequences and reverse transcription reactions utilizing S. purpuratus unfertilized egg mRNA as template. A full-length p80 cDNA (GENBANK accession #AF052433) was generated by joining the inserts of pFM1 and pFM23 at a common BstX1 BstXI site.

BLAST searches of GENBANK with p80 sequences revealed homology with a human infant brain cDNA (GENBANK accession #T 16102) which was obtained and sequenced. Sequences obtained from the T 16102 clone were used to obtain multiple 3' end cDNA clones by 3' RACE from HT1080 (human fibrosarcoma) total RNA. An overlapping cDNA clone (pFM54) containing the translation start site was obtained by PCR amplification from an adult human hippocampal cDNA library (Stratagene, Inc.). Sequence analysis of partial cDNAs PCR amplified from HT1080 total RNA or from the hippocampal library were over 98% identical in predicted a.a. sequence. The complete DNA sequence of human p80 katanin is available from GENBANK (accession #AF052432).

## **Antibody Production and Immunoprecipitation**

The full-length *S. purpuratus* p60 cDNA coding sequence was inserted into pMALC2 (New England Biolabs) and expressed as a C-terminal fusion to maltose binding protein in *E. coli*. Soluble MBP-p60 fusion protein was purified on an amylose affinity column, eluted with maltose, and injected into rabbits (antiserum production by BABCO, Berkeley, Berkeley, CA). To select p60-specific antibodies that do not react

with other AAA members, antibodies recognizing the N-terminal non-AAA domain of p60 were affinity purified on an Affi-Gel column coupled with the N-terminal residues 1- 152 of p60 fused to MBP (Harlow and Lane (1988). *Antibodies: A Laboratory Manual*. (Cold Spring Harbor, New York: Cold Spring Harbor Laboratory Press)). The resulting affinity-purified antibody recognized a single 60 kDa polypeptide in immunoblots of *S. purpuratus* unfertilized egg extract.

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To prepare a specific antibody to human p80 katanin, the full length human p80 cDNA was ligated into the *E. coli* expression vector, pET-28a<sup>+</sup> (Novagen) as a BamHI-XhoI BamHI-XhoI fragment. The protein was expressed and then purified in a denatured state in 8 M urea. by nickel chelate chromatography on His-Bind Resin (Novagen). Rabbits were immunized with polyacrylamide slices containing SDS-PAGE resolved human p80 katanin. Resulting serum was affinity purified with CNBr Sepharose-coupled, bacterially-expressed human p80 katanin. The resulting affinity purified antibody recognized a single 80 kDa polypeptide in immunoblots of SDS solubilized Hela HeLa cells (not shown).

For immunoprecipitations used to demonstrate association of baculovirus-expressed *S. purpuratus* p60 and p80, affinity purified anti-p60 antibodies were covalently crosslinked to protein A Sepharose using 20 mM dimethylpimilidate (Harlow and Lane (1988) *supra*.). After equilibration in TBST, 20-40 :1 µl of antibody beads were added to katanin samples diluted in TBST containing 1-2 mg/ml soybean trypsin inhibitor (SBTI). The immunoprecipitations were incubated at 4°C for 1-2 hr, washed five times with 1 ml of ice-cold TBST, and eluted in SDS-containing sample buffer.

#### **Baculovirus Expression and Purification of Katanin**

Katanin subunits were expressed using the Bac-to-Bac<sup>TM</sup> baculovirus expression system (Life Technologies), a commercial version of the site-specific transposition system for making recombinant baculovirus (Luckow *et al.* (1993) *J. Virology* 67: 4566-4579). p60 and p80 cDNA coding sequences were each PCR amplified (Expand

polymerase, Boehringer Mannheim) and then subcloned separately into pFastBac HT, which resulted in the fusion of a 6xHis Ni2<sup>+</sup> binding sequence to the N-terminus of both p80 and p60. A p60-p80 coexpression virus was made by cloning the complete p60-FastBac HT and p80-FastBac HT coding regions into the transfer vector, pDual. Recombinant baculovirus baculoviruses were prepared according to the Life Technologies protocol.

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Sf9 cells were grown in SFM-900 Il SFM (Life Technologies) supplemented with 100X antibiotic/antimycotic (Life Technologies) to 0.5X using the shaker culture method (Weiss *et al.* (1995) pp. 79-85 in *Baculovirus Expression Protocols*, C. D. Richardson, ed. Totowa, New Jersey: Humana Press Inc.). Expression of katanin subunits was performed in 11 flasks containing 200-300 ml of media using a multiplicity of infection of 0.5-1.0 pfu/cell. The cells were harvested at approximately 72 hr post infection by low speed centrifugation and resuspended in lysis buffer (50 mM Tris pH 8.5, 300 mM NaCl, 2 mM MgCl<sub>2</sub>, 20 mM imidazole, 10 mM 2-mercaptoethanol, 1 mM ATP, 1 μg/ml pepstatin, 1 μg/ml leupeptin, 1 μg/ml aprotinin) before freezing in liquid nitrogen, and storage at -80°C.

To purify the expressed subunits, frozen cells were thawed and DNA was sheared by two passes through a Bio-Neb Cell Disrupter 100 psi helium, 13 1/min. Cell debris was removed by centrifugation (40,000xg for 45 min). Subunits were bound in batch to Ni<sup>2+</sup>-NTA Superflow (QIAGEN), washed [20 mM Tris pH 8.0, 1 M NaCl, 2 mM MgCl<sub>2</sub>, 40 mM imidazole, 0.02% Triton X- 100, 10 mM 2 mercapotethanol, 2-mercaptoethanol, 0.5 mM ATP] and eluted [20 mM Tris pH 8.0, 100 mM NaCl, 150 mM imidazole, 2 mM MgCl<sub>2</sub>, 0.02% Triton X- 100, 10 mM 2-mercaptoethanol, 100 \(\preceq \text{M} \text{ μM} \text{ ATP}\)], followed by freezing in liquid nitrogen. Additional purification was sometimes performed by anion-exchange chromatography. Katanin concentrations were estimated by comparison with BSA standards using either a commercial Bradford reagent (Bio-Rad) or by densitometric analysis of Coomassie-stained SDS-PAGE gels with NIH-IMAGE after image capture on a CCD-based imaging system (Foto/Analyst, Fotodyne).

## **Electron Microscopic Imaging**

Proteins were adsorbed to mica, freeze-dried, and platinum replicated according to established procedures (Heuser (1989) *J. Electron Microsc. Technique* 13):, 244-263; Heuser (1983) *J. Mol. Biol.* 169: 155-195). Sample preparation and imaging were similar to that used in the imaging of NSF (Hanson *et al.* (1997) *Cell* 90: 523-535), except that mica flakes were washed with a buffer consisting of 10 mM K-HEPES (pH 7.5), 2 mM MgCl<sub>2</sub>, 1 mM nucleotide (ATP or ATP- -S) ATP-γ-S). Images were processed using Adobe Photoshop and displayed at 300,000X.

## **ATPase Assays**

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ATPase activity was measured by a modified malachite green method (Kodama et al. (1986) J. Biochem. 99: 1465-1472). ATPase reactions of 50-100 μl, were carried out in a buffer previously used for measuring the ATPase activity of native katanin [20] mM K-HEPES pH 8.0, 25 mM K-Glutamate, potassium glutamate 2 mM MgCl<sub>2</sub>, 10% glycerol (v/v), 0.02% Triton X-100 (w/v), 1 mg/ml BSA] (McNally and Vale (1993) Cell, 75: 419-429), except that soybean trypsin inhibitor (SBTI) was replaced by BSA as a carrier protein because SBTI increased background phosphate contamination. An ATP regenerating system, consisting of 0.5-1.0 mM phospho enol phosphoenol pyruvate and <del>2U</del> 2 units of pyruvate kinase, was included to minimize the inhibition by ADP observed previously for native katanin (McNally and Vale (1993) Cell, 75: 419-429). Microtubules were prepared from bovine brain tubulin (Hyman *et al.* (1990) Meth. Enzymol. 196: 303-319; and Williams and Lee (1982) Meth Enzymol. 85B: 376-385). After assembly, microtubules were sedimented (230,000 x g; 10 min), resuspended in ATPase buffer lacking BSA, and the polymers were resuspended by repeated passage through a 27 gauge needle. Microtubule concentration was determined by measuring the absorbance at 275 nm in 6 M guanidine HCl by using a molecular mass of 110 kDa and an extinction coefficient of 1.03 ml\*mg-l\*em-l ml mg<sup>-1</sup> cm<sup>-1</sup> (Hackney (1988) Proc. Natl. Acad Sci. USA, 85: 6314-6318). ATPase reactions were carried out at room temperature, and were initiated by addition of katanin.

## **Severing Assays**

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Microscope-based severing assays were performed using previously published procedures (McNally and Vale (1993) *Cell*, 75: 419-429), except that microtubules were immobilized by first perfusing flow cells with a bacterially expressed kinesin mutant that binds strongly to microtubules but is unable to hydrolyze ATP (K560, G234A mutant; R. Vale and E. Taylor, unpublished results). Assays were performed in 20 mM Hepes (pH 7.5), 2 mM MgCl<sub>2</sub>, 1 mM ATP with an oxygen scavenger system consisting of glucose oxidase (220 μg/ml), catalase (36 μg/ml), glucose (22.5 mM), and 2-mercaptoethanol (71.5 mM). Images were captured using a cooled, slow-scan CCD (Photometerics) and processed using Adobe Photoshop.

DAPI severing assays were performed using conditions where the change in fluorescence intensity was linear with the amount of tubulin polymer added (Heusele *et al.* (1987) *Eur. J Biochem.* 165: 613-620). Severing reactions contained containing 2 μM microtubules (polymerized and resuspended in ATPase buffer as above) were incubated with 10 μM DAPI, along with 1 mM ATP, 10 mM phospho-enol phosphoenol pyruvate, 250 μg/ml pyruvate kinase (Boehringer Mannheim), and 1 mg/ml BSA. The reaction volume was 80 μL, and fluorescence intensity was measured by exciting at 370 nm. and measuring the emission at 450 mn using a model 8100 fluorimeter (SLM Instruments) in photon counting mode.

# In vitro Translation Co-Immunoprecipitation

In order to facilitate the non-radioactive detection of in vitro in vitro translated p60 and p80, each cDNA was ligated into the vector pCITE-4a+ (Novagen) such that the proteins would be translated in frame with a 37 amino acid N-terminal S-Tag. In vitro synthesis of proteins directly from plasmid DNAs was accomplished using the Single Tube Protein System 2, T7 (Novagen). For co-immunoprecipitation assays, p60 and p80 constructs were usually co-expressed. However, identical results were obtained if the constructs were expressed separately and the incubated together for 30 min at room temperature. For immunoprecipitations, lysates were incubated on ice with

Pansorbin (Calbiochem)-antibody complexes, washed in NET buffer (50 mM Tris-Cl (pH 7.5), 150 mM NaCl, 0.1% Nonidet P40, 1 mM EDTA (pH 8.0), 0.25% gelatin and 0.02% sodium azide), then resuspended in SDS-PAGE sample buffer. *In vitro* translation products in both the pellets and supernatants from the immunoprecipitations were resolved by SDS-PAGE, transferred to nitrocellulose, probed with S-protein HRP conjugate (Novagen) and detected by chemiluminescence.

#### Cell Culture and Transfections and Immunofluorescence

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To allow transient expression of a human p80 WD40-GFP fusion protein in HeLa cells, a DNA fragment containing amino acids 1-263 of human p80 katanin was generated by PCR amplification, placing a BamHI BamHI site and a Kozak consensus at the predicted translation start and an EeoRI EcoRI site after the codon for a.a. 263. This BamHI-EeoRI BamHI-EcoRI fragment was ligated into the GFP fusion vector pEGFP-NI (Clontech).

Both MSU1.1 and Hela HeLa cells were grown on 18 mm glass coverslips in Optimem medium (Life Technologies) supplemented with 10% fetal bovine serum, penicillin and streptomycin. Plasmids were transfected using Superfect Reagent (Qiagen) for 2 hr after which coverslips were washed with PBS and placed in fresh culture medium at 37°C with 5% CO<sub>2</sub> for 1-24 hr.

For imaging of GFP-fluorescence and immunofluorescence with the human p80 katanin antibody or with the γ-tubulin antibody, monoclonal GTU88 (Sigma Chemical), cells on coverslips were fixed either in -20°C methanol or in 0.5X PBS, 3.7% formaldehyde, 75% methanol at 22°C for 10 min followed by rehydration in TBST. Antibody labelling was carried out in TBST containing 4% BSA. Images were captured with a Nikon Microphot SA microscope, 100x Plan Fluor 1.3 objective, Photometrics Quantix camera and IP Lab Spectrum software (Scanalytics).

It is understood that the examples and embodiments described herein are for illustrative purposes only and that various modifications or changes in light thereof will be suggested to persons skilled in the art and are to be included within the spirit and purview of this application and scope of the appended claims. All publications,

patents, and patent applications cited herein are hereby incorporated by reference in their entirety for all purposes.